

# A complex-systems view on language (text analysis)

Eduardo G. Altmann

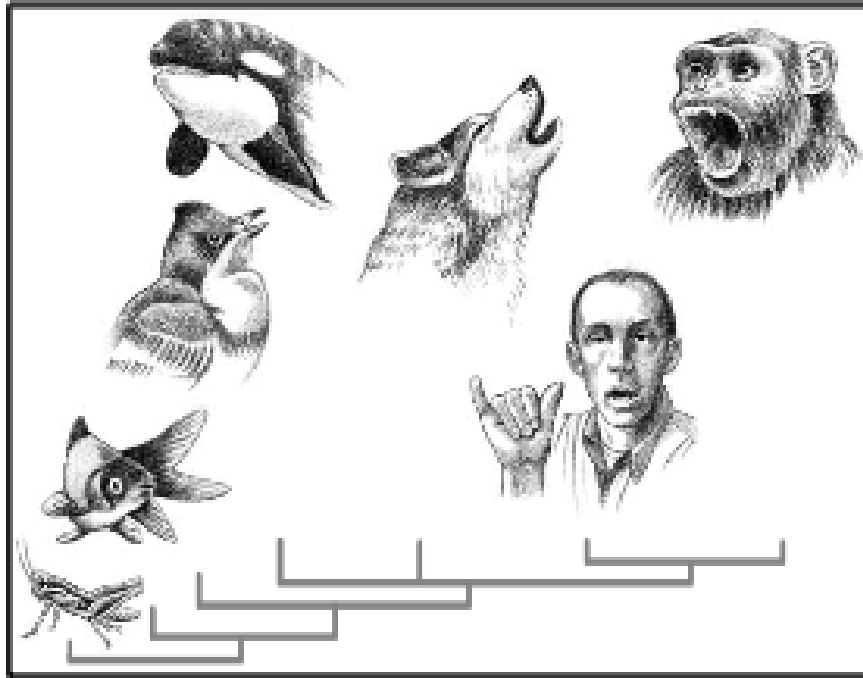
School of Mathematics and Statistics  
The University of Sydney  
Australia



THE UNIVERSITY OF  
SYDNEY

CRISIS:  
Modelling social risks and extreme events

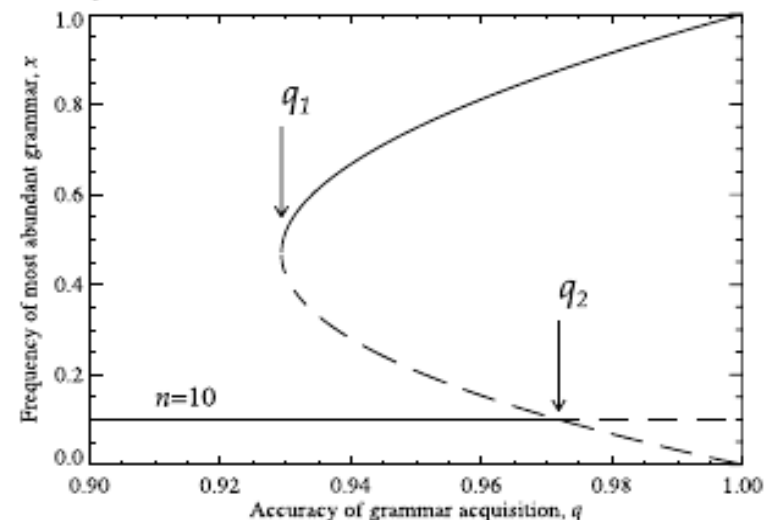
$\longleftrightarrow$   
 Humans  
 100 000 years



*The faculty of Language: What Is It, Who Has It, and How Did It Evolve*  
 Hauser, Chomsky, Fitch (Science 2002)

*The Evolution of Universal Grammar*  
 Nowak, Komarova, Niyogi (Science 2001)

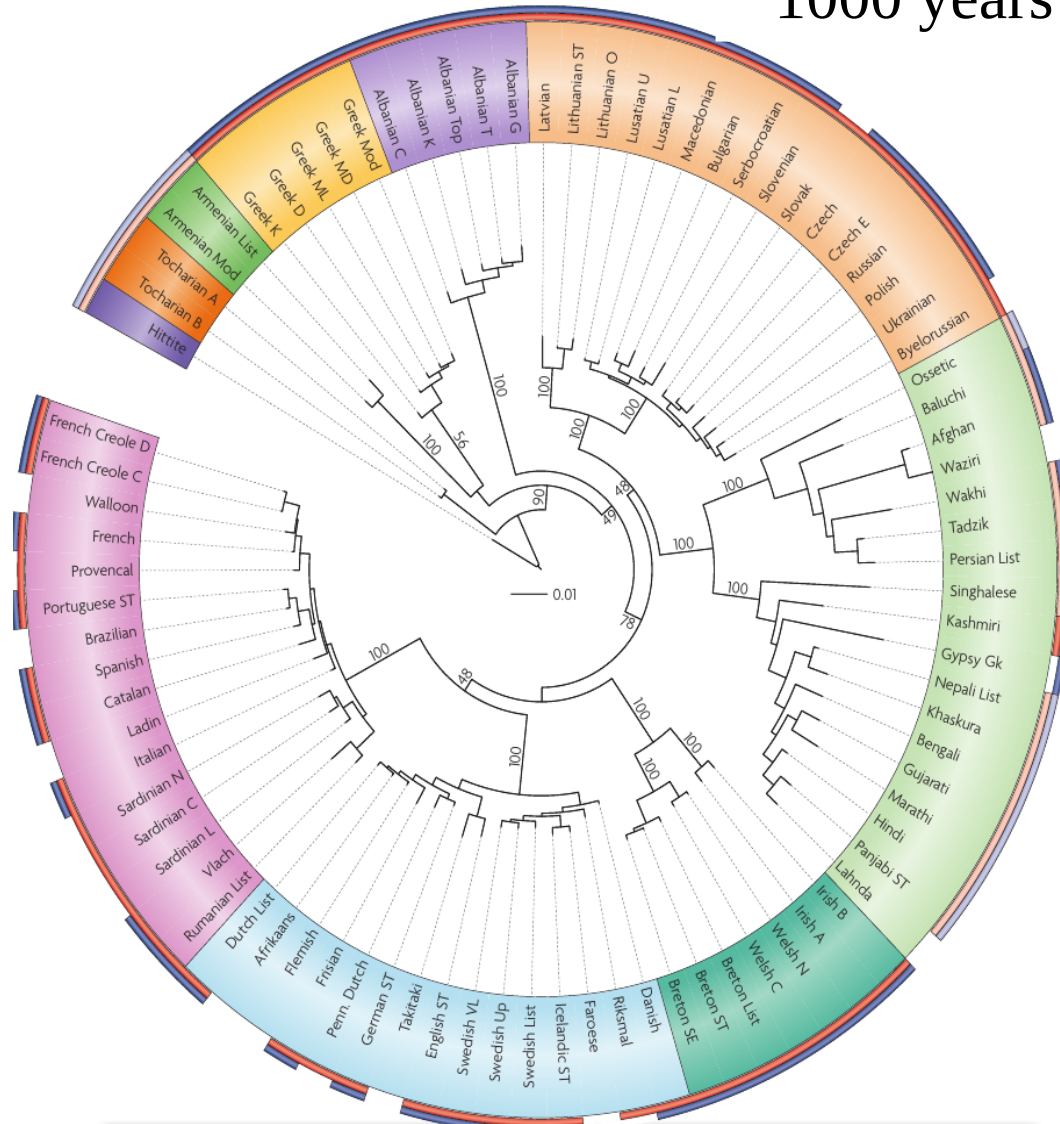
$$\dot{x}_i = \sum_{j=1}^n x_j f_j Q_{ji} - \phi x_i \quad i = 1, \dots, n$$



*The Mystery of Language Evolution,*  
 Hauser *et al.* (Frontiers in Psychology 2014)

“We argue instead that the richness of ideas is accompanied by a poverty of evidence...”

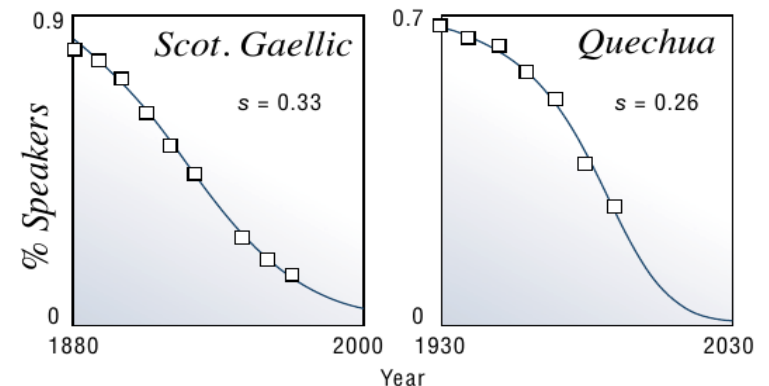
← Languages →  
1000 years



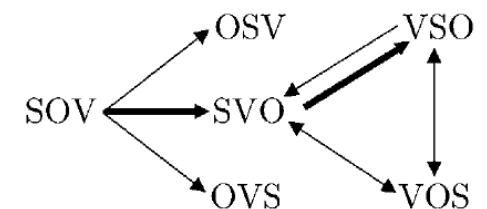
*Modelling the dynamics of language death*  
Abrams and Strogatz (Nature, 2006)

$$\frac{dx}{dt} = yP_{yx}(x,s) - xP_{xy}(x,s)$$

$$P_{yx}(x,s) = cx^a s \text{ and } P_{xy}(x,s) = c(1-x)^a(1-s)$$



*The origin and evolution of word order*  
Gell-Mann and Ruhlen (PNAS 2011)



*Human language as a culturally transmitted replicator*  
Pagel (Nature Rev. Genetics 2009)



## Cook's Diary

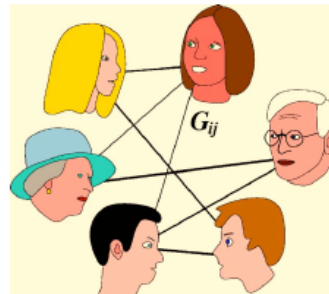
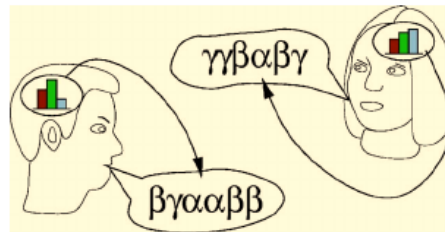
Sunday 6th May 1770

"In the evening the yawl return'd from fishing having caught two Sting rays weighing near 600 pounds. The great quantity of New Plants & Ca Mr Banks & Dr Solander collected in this place occasioned my giving it the name of Botany Bay. It is situated in the Latitude of  $34^{\circ}..0'$  So Longitude  $20^{\circ} 8'..37'$  West it is Capacious safe and commodious - it may be known by the land on the Sea-coast which is of a pretty even and moderate height and rather higher than it is farther inland with steep rocky cliffs next the Sea and looks like a long Island lying close under the Shore: the entrance of the harbour lies about the Middle of this land - in coming from the Southward it is discover'd before you are abreast of it which you cannot do in coming from the northward..."

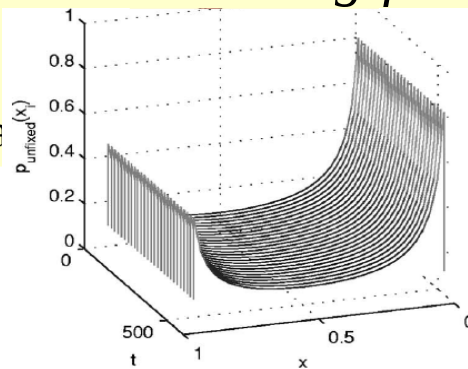
<http://southseas.nla.gov.au/journals/cook/17700506.html>

## Utterance selection model of language change

Baxter, Blythe, Croft, McKane (Phys Rev E 2006)

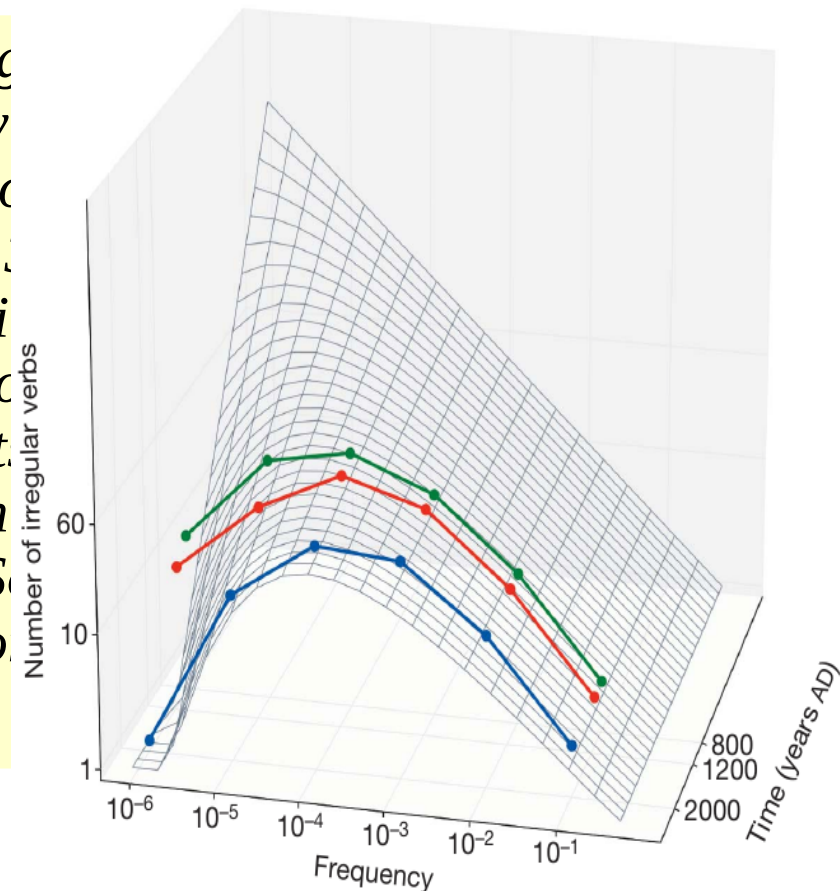


s land - in coming from the S  
of it in co



## Quantifying the evolutionary dynamics of language

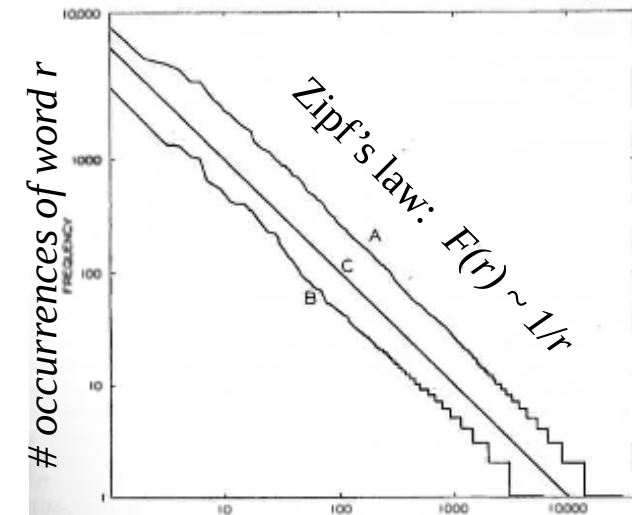
Lieberman, Michel, Jackson, Tang, Nowak (Nature 2007)







*Human Behavior and the Principle of Least Effort, Zipf (1949)*



*r-th most frequent word*

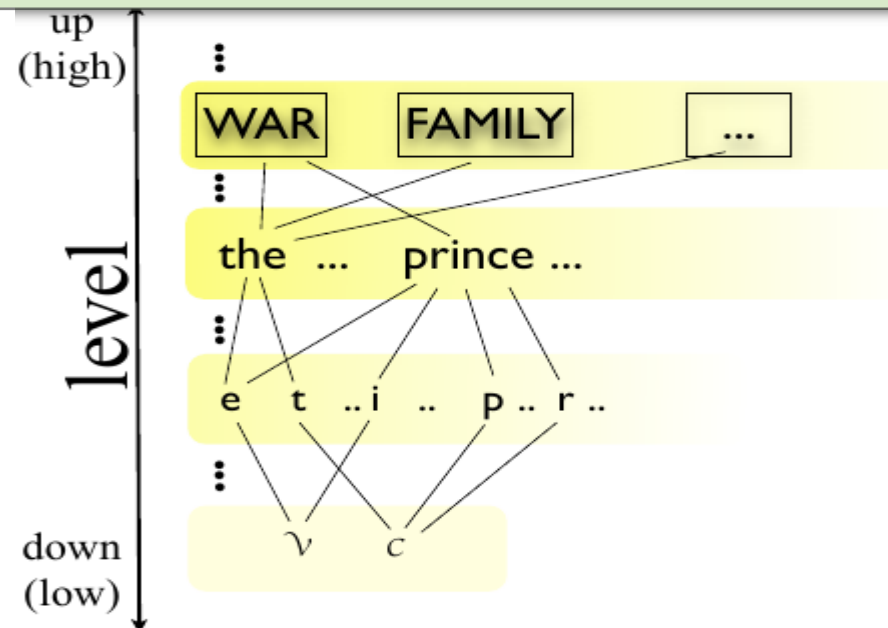
Universal statistical laws?

War and Peace, by Leo Tolstoy

Well, Prince, so Genoa and Lucca are now just family estates of the Buonapartes. But I warn you, if you don't tell me that this means war, if you still try to defend the infamies and horrors perpetrated by that Antichrist--I really believe he is Antichrist--

. . .

*On the origin of long-range correlations in texts*  
Altmann, Cristadoro, Degli Esposti (PNAS 2012)



# *Language Dynamics*



Humans

Languages

Change

100 000 years

10 000 years

1 000 years

100 years

10 years

One book

Twitt



Google n-gram corpus:

500y, >1M Books

English Wikipedia:

10y, 4M Articles

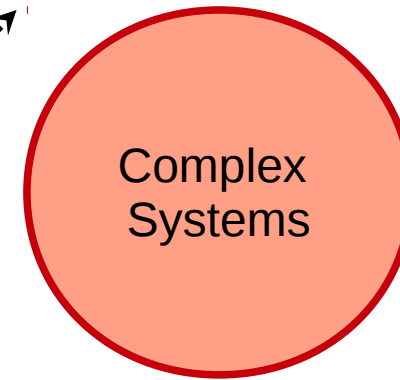
Scientific Papers in WoS:

30y, 2M Articles

Usenet Discussion group: ~30y, 5M posts/group

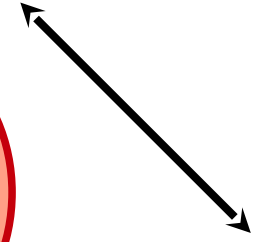
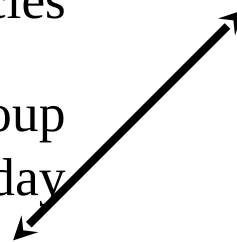
Twitter: ~10y, 750M tweets/day

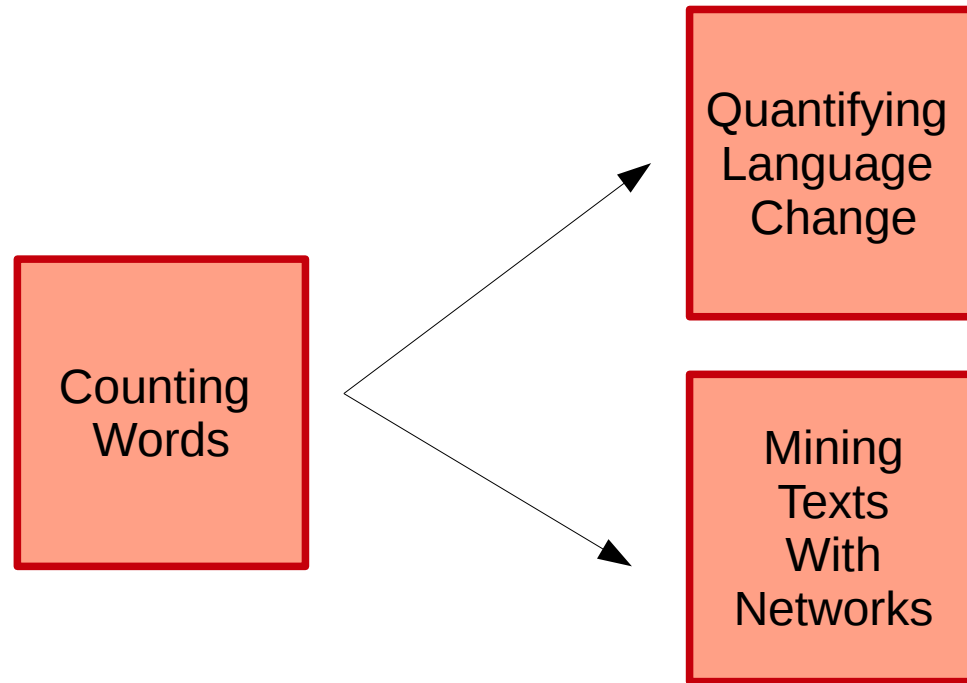
*Data  
is available!*



*Applications  
(e.g., data mining)*

*Models /  
Methods*





- M. Gerlach & E. G. Altmann, *"Stochastic model for the vocabulary growth in natural languages"*, Phys. Rev. X (2013)
- M. Gerlach & E. G. Altmann, *"Scaling laws and fluctuations in the statistics of word frequencies"*, New J. Phys. (2014)
- E. G. Altmann & M. Gerlach *"Statistical Laws in Linguistics"*, Chapter in Creativity and Universality in Language (2016)

## Vocabulary growth?

*Report on the state of the **German** language* (March 2013)

German Academy for Language and Literature

Union of German Academies of Sciences and Humanities

Year	1905-1914	1948-1957	1995-2004
# distinct words	3,715,000	5,045,000	5,238,000

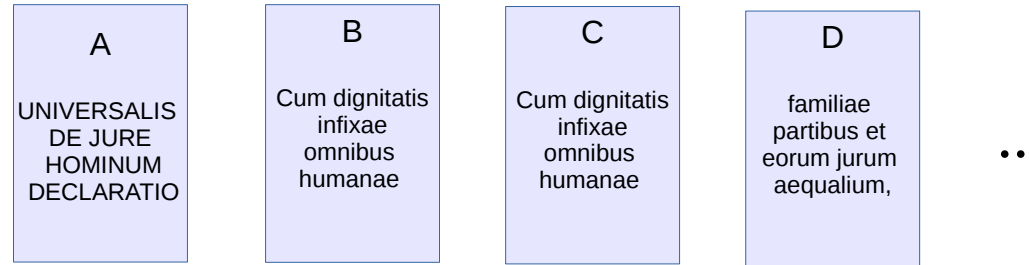
*Quantitative Analysis of Culture Using Millions of Digitized Books*

Michel et. al., Science (2011) [**English**]

Year	1900	1950	2000
# distinct words	544,000	597,000	1,022,000

**Problem:** dependence of  
vocabulary on database size?

# Vocabulary growth with database size



Vocabulary size  
=  
memory allocation

Words



Documents

	A	B	C	D	...
<i>the</i>	156	85	111	35	56
<i>of</i>	59	65	75	33	40
...	...	...	...	...	...
<i>science</i>	0	5	2	0	0
<i>sport</i>	4	0	0	0	0
<i>networks</i>	2	0	0	0	0
<i>physics</i>	0	0	1	0	0
<i>biology</i>	0	0	0	5	0
...	...	...	...	...	...

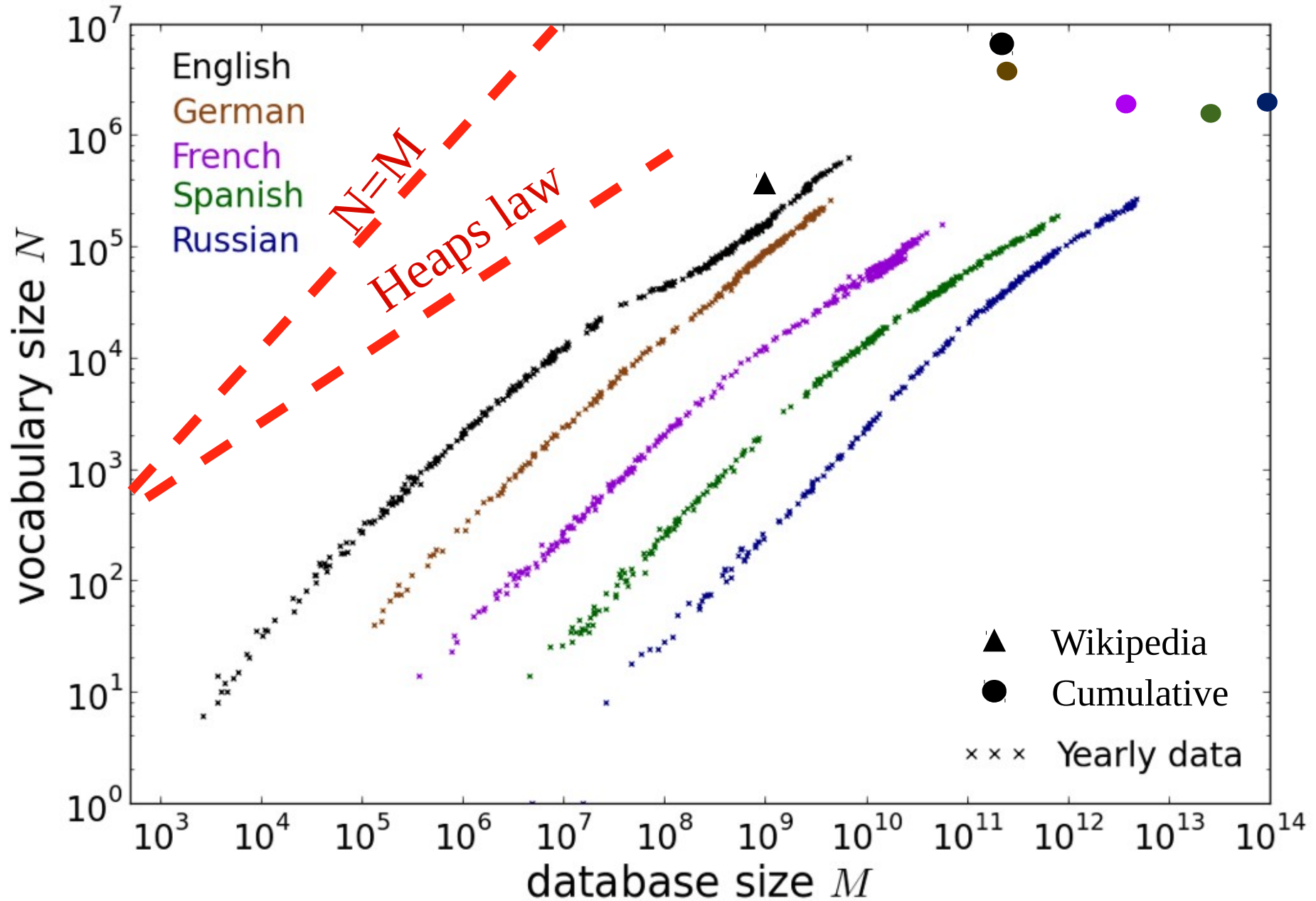
Example of applications:

- invert indexing (document classification, text mining, etc.)
- vocabulary richness of texts / authors (different document lengths)



# Vocabulary growth with database size

Limit vocabulary?



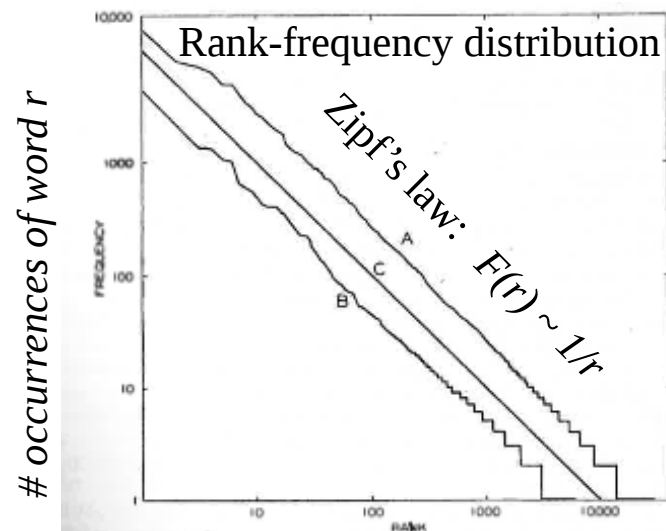
## Vocabulary growth with database size

**Simple model**: usage of each word follows a Poisson process with fixed frequency

$$\langle N(M) \rangle = \sum_r 1 - e^{-F(r)M}$$

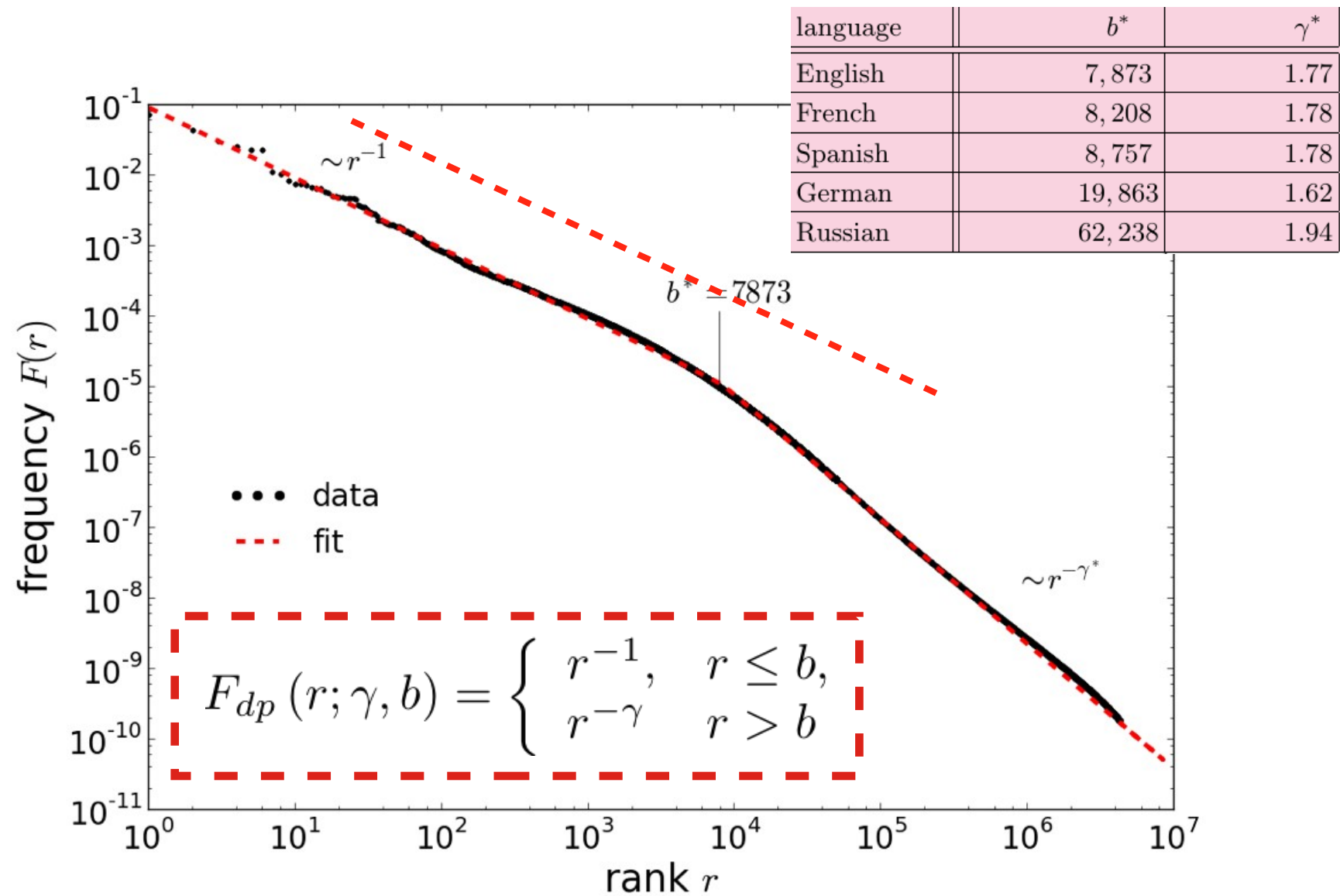
where  $F(r)$  is the frequency of the  $r$ -th most frequent word ( $r = \text{rank}$ ).

Zipf's law?



rank ( $r$ -th most frequent word)

# Zipf's law?



## Vocabulary growth with database size

**Simple mode**: usage of each word follows a Poisson process with fixed frequency

$$\langle N(M) \rangle = \sum_r 1 - e^{-F(r)M}$$

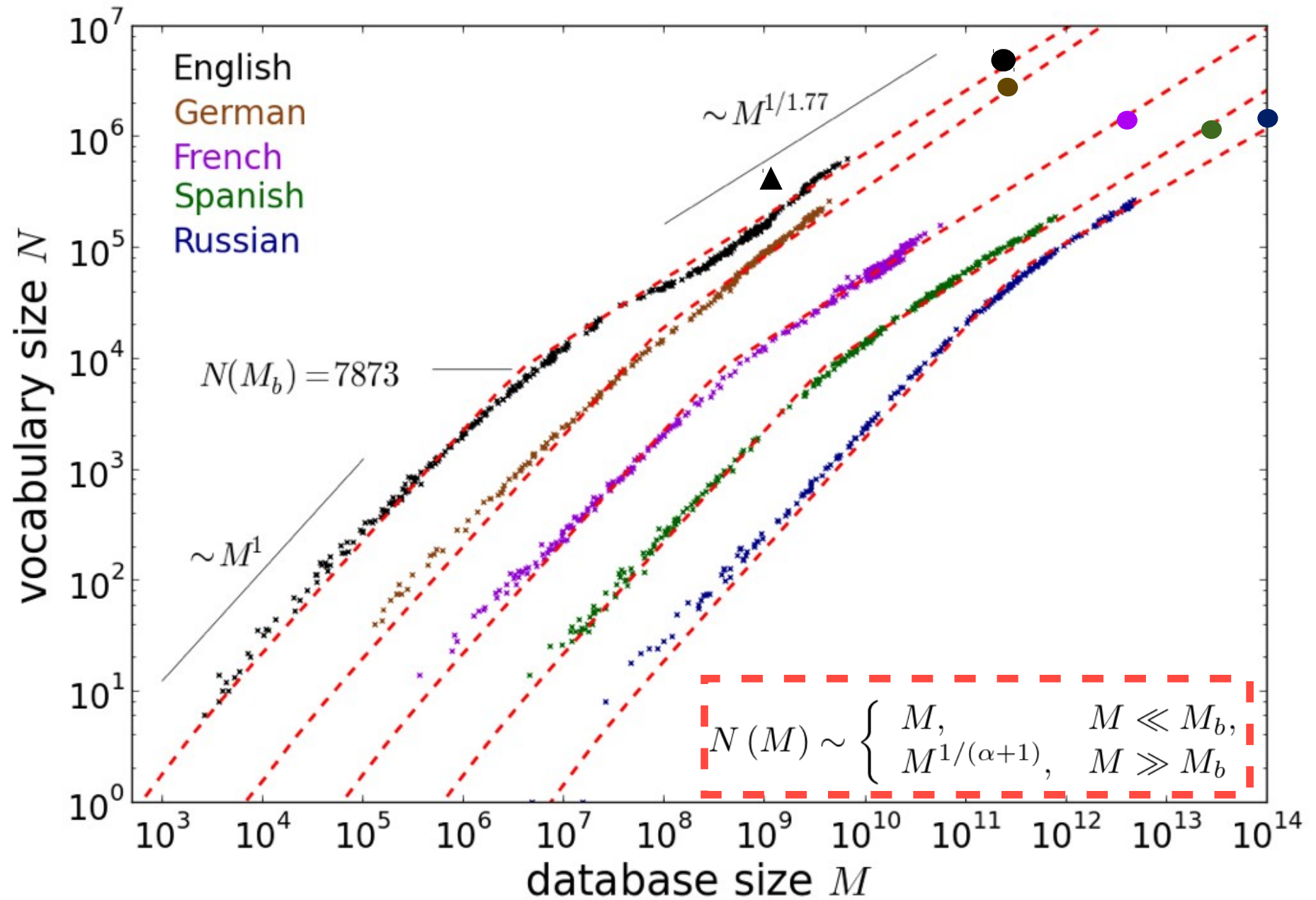
where  $F(r)$  is the frequency of the  $r$ -th most frequent word ( $r = \text{rank}$ ).

$$F_{dp}(r; \gamma, b) = \begin{cases} r^{-1}, & r \leq b, \\ r^{-\gamma} & r > b \end{cases}$$

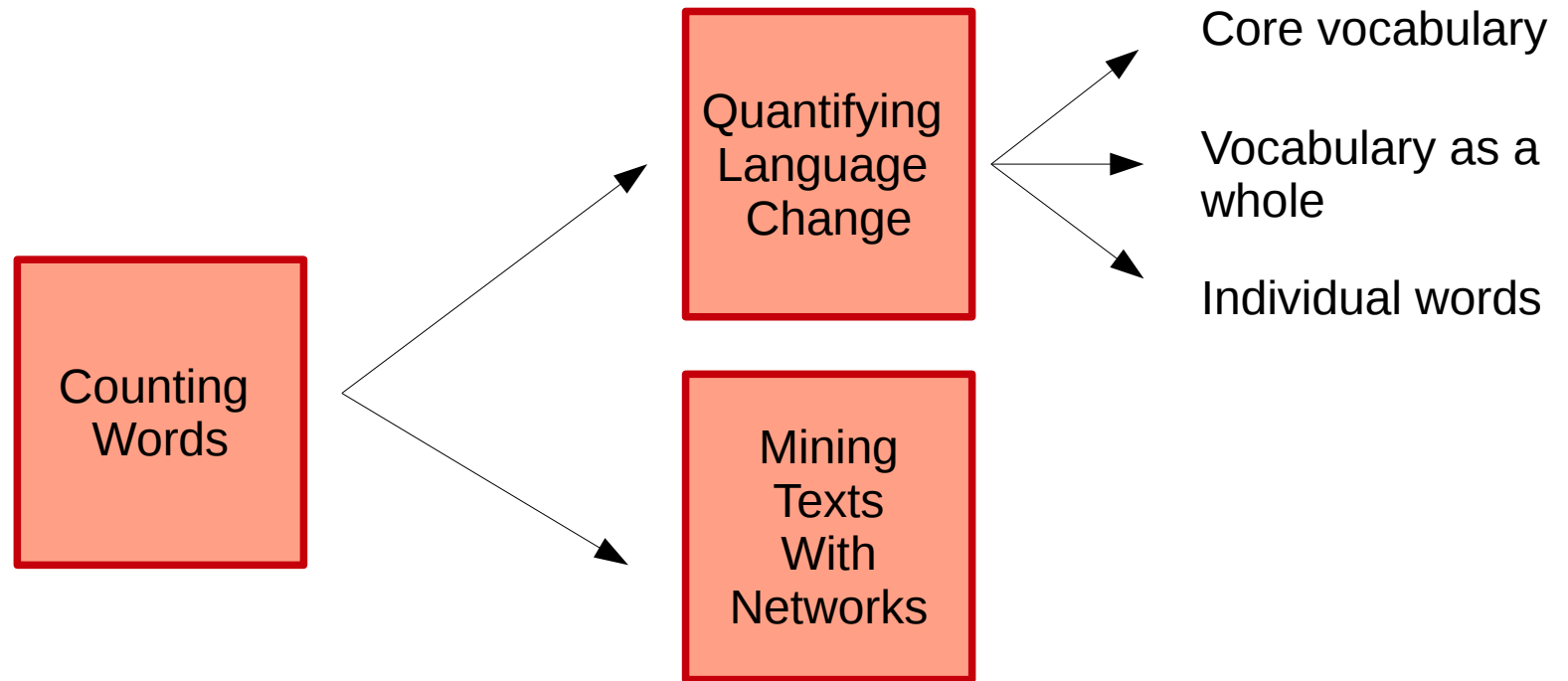
$$N_{dp}(N_c) = \begin{cases} M, & M \ll M_b, \\ M^{1/\gamma}, & M \gg M_b \end{cases}$$

Extension of the Zipf-Heaps connection [<Mandelbrot 1950's]!

# Vocabulary growth with database size





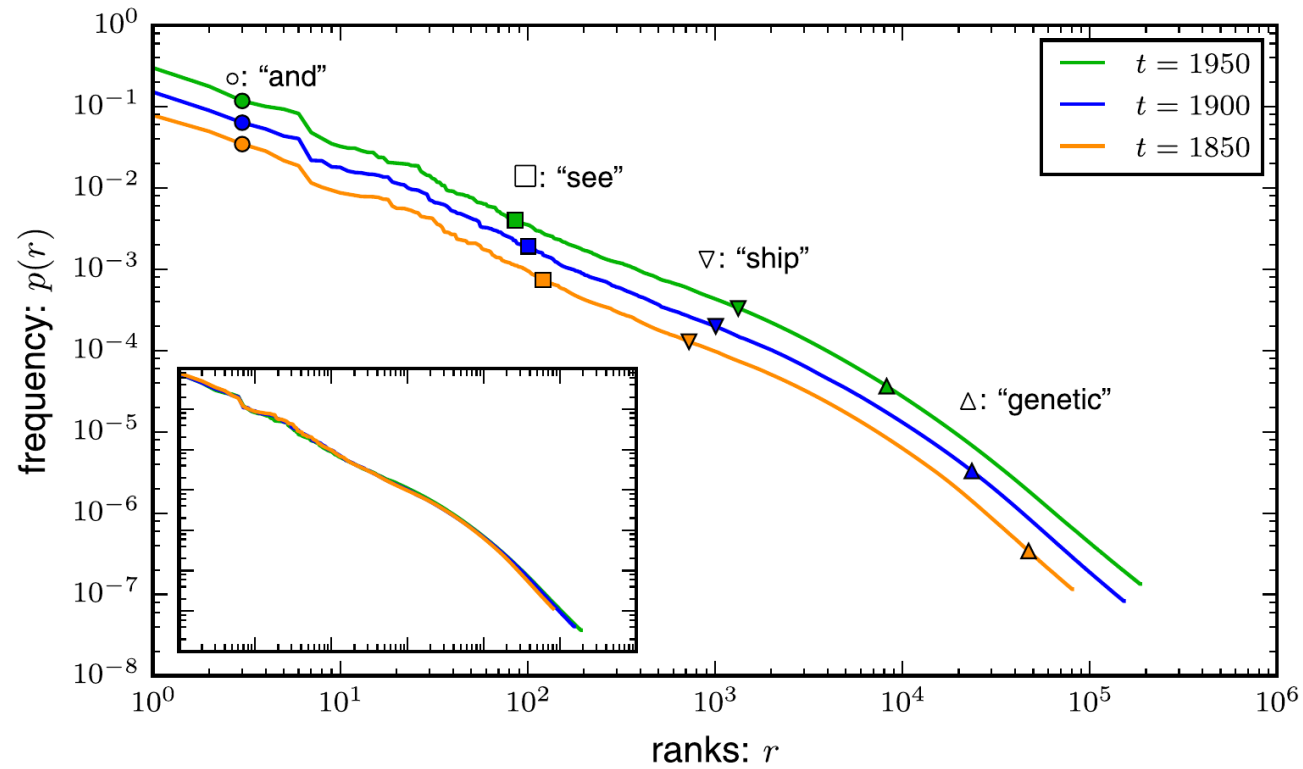


F. Ghanbarnejad, M. Gerlach, J. M. Miotto, and E. G. Altmann, "*Extracting information from S-curves of language change*", J. Royal Soc. Interface (2014)

M. Gerlach, F. Font-Clos, E. G. Altmann, "*On the similarity of symbol-frequency distributions with heavy tails*", Phys. Rev. X (2016)

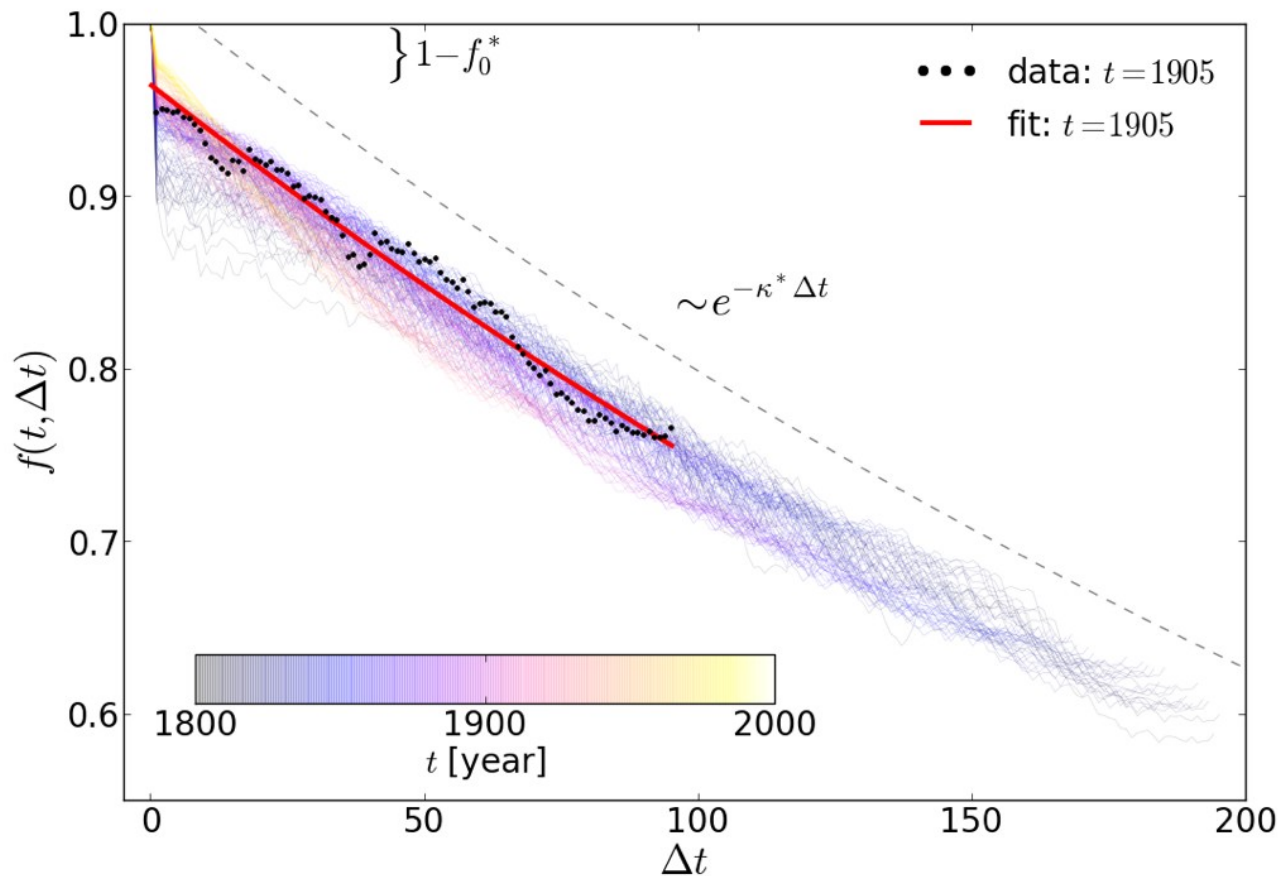
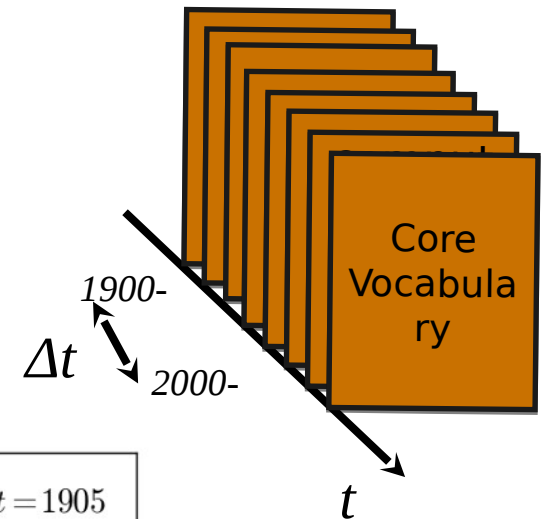
L. Dias, M. Gerlach, J. Scharloth, and E. G. Altmann, "Using text analysis to quantify the similarity of scientific disciplines", [arXiv:1706.08671] .

# What is changing?



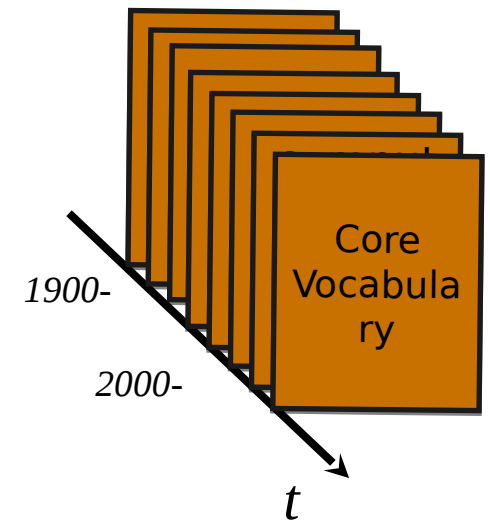
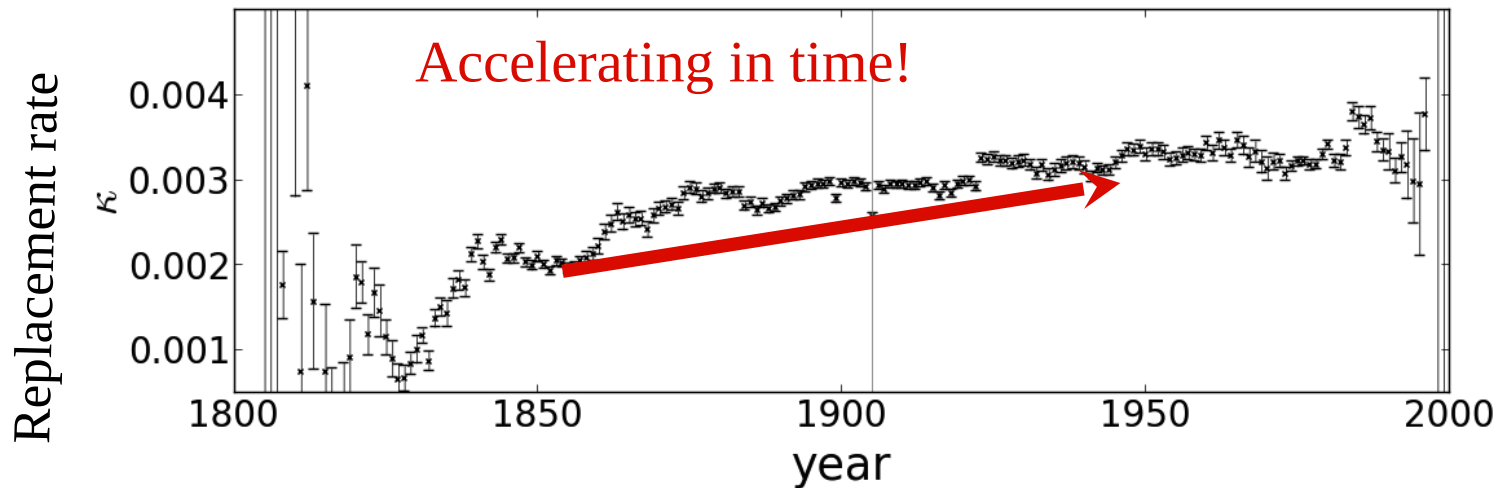
# Change in the core vocabulary

$f(t, \Delta t)$ : fraction of core words at time  $t$   
which remain core at time  $t + \Delta t$



## Change in the core vocabulary

Replacement in the core vocabulary:  
 $N_c/\kappa \approx 30$  words/year



**1900**

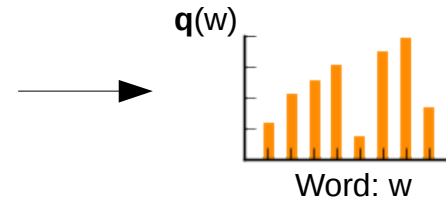
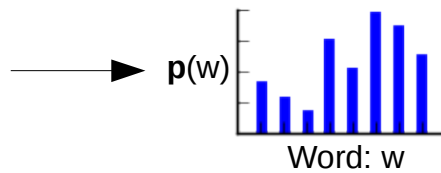
*majesty, doubtless,  
furnished, monsieur,  
Napoleon, hitherto*

Most frequent  
replaced words

**2000**

*cultural, context,  
technology, programs,  
environmental, computer*

## Vocabulary Distance



# Generalized Jensen-Divergence, Divergence

$$H_\alpha(H(\mathbf{p})) = - \sum_i p_i \log p_i$$

Havrda&amp;Chrvát, Kybernetika (1967)

$$H_{\alpha=1}(\mathbf{p}) = H(\mathbf{p})$$

$$D_{\epsilon} D(\mathbf{p}, \mathbf{q}) = H\left(\frac{\mathbf{p} + \mathbf{q}}{2}\right) - \frac{1}{2}H(\mathbf{p}) - \frac{1}{2}H(\mathbf{q}) \quad D_{\alpha=1}(\mathbf{p}, \mathbf{q}) = D(\mathbf{p}, \mathbf{q})$$

Burbea&Rao, IEEE TIT (1982)

$$\rightarrow \sqrt{D_\alpha} \text{ is a Diameter for } \alpha \in [0, 2]$$

Briet *et al.*, Phys Rev A (2009)

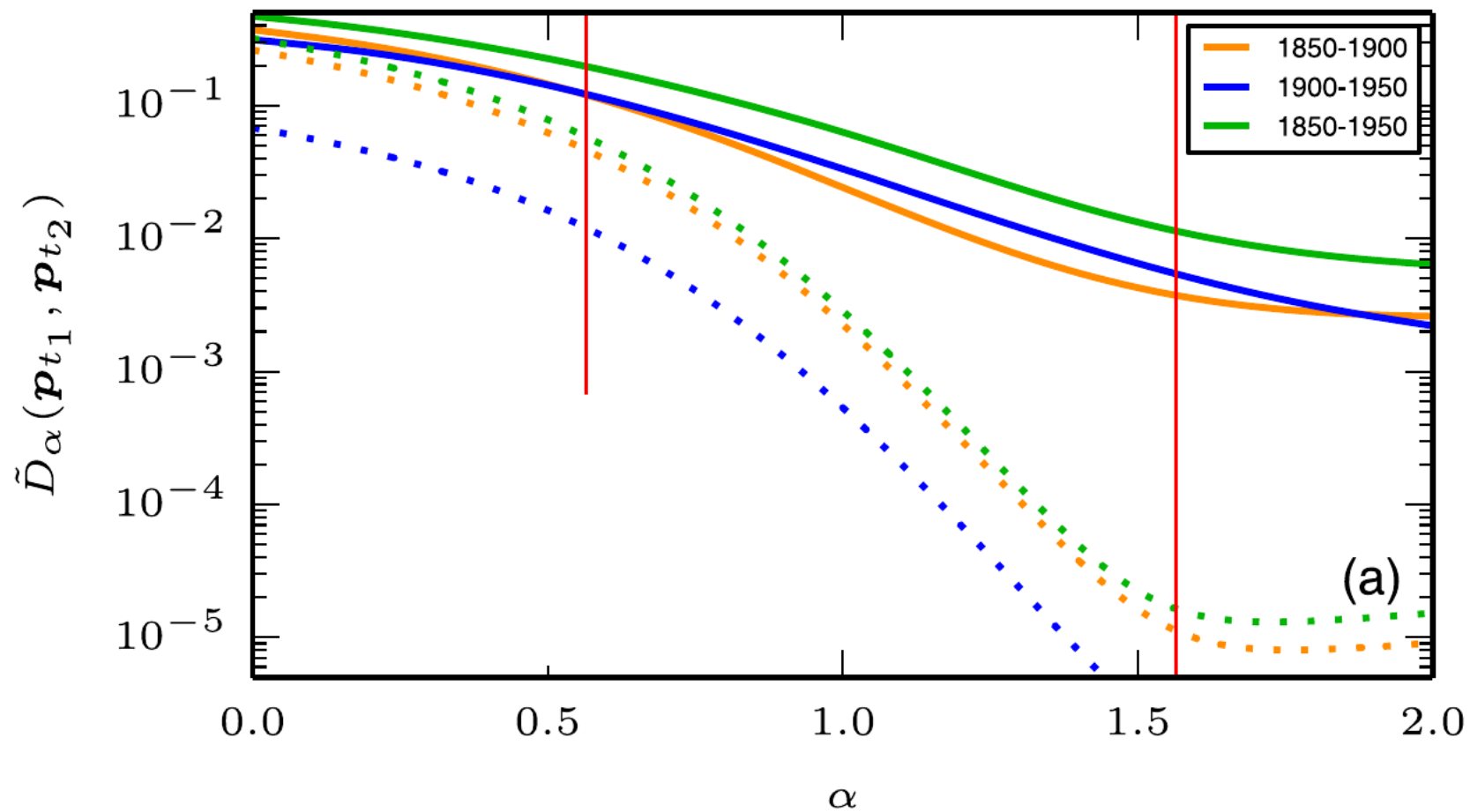
Slow convergence of statistical estimators due to Zipf's law:  $F_r \sim r^{-\gamma}$

	$H_\alpha$	$D_\alpha, \tilde{D}_\alpha(\mathbf{p} \neq \mathbf{q})$	$D_\alpha, \tilde{D}_\alpha(\mathbf{p} = \mathbf{q})$
Bias:	$V^{(\alpha)}/N$	$V^{(\alpha)}/N$	$V^{(\alpha)}/N$
Fluctuations:	$V^{(2\alpha)}/N$	$V^{(2\alpha)}/N$	$V^{(2\alpha-1)}/N^2$

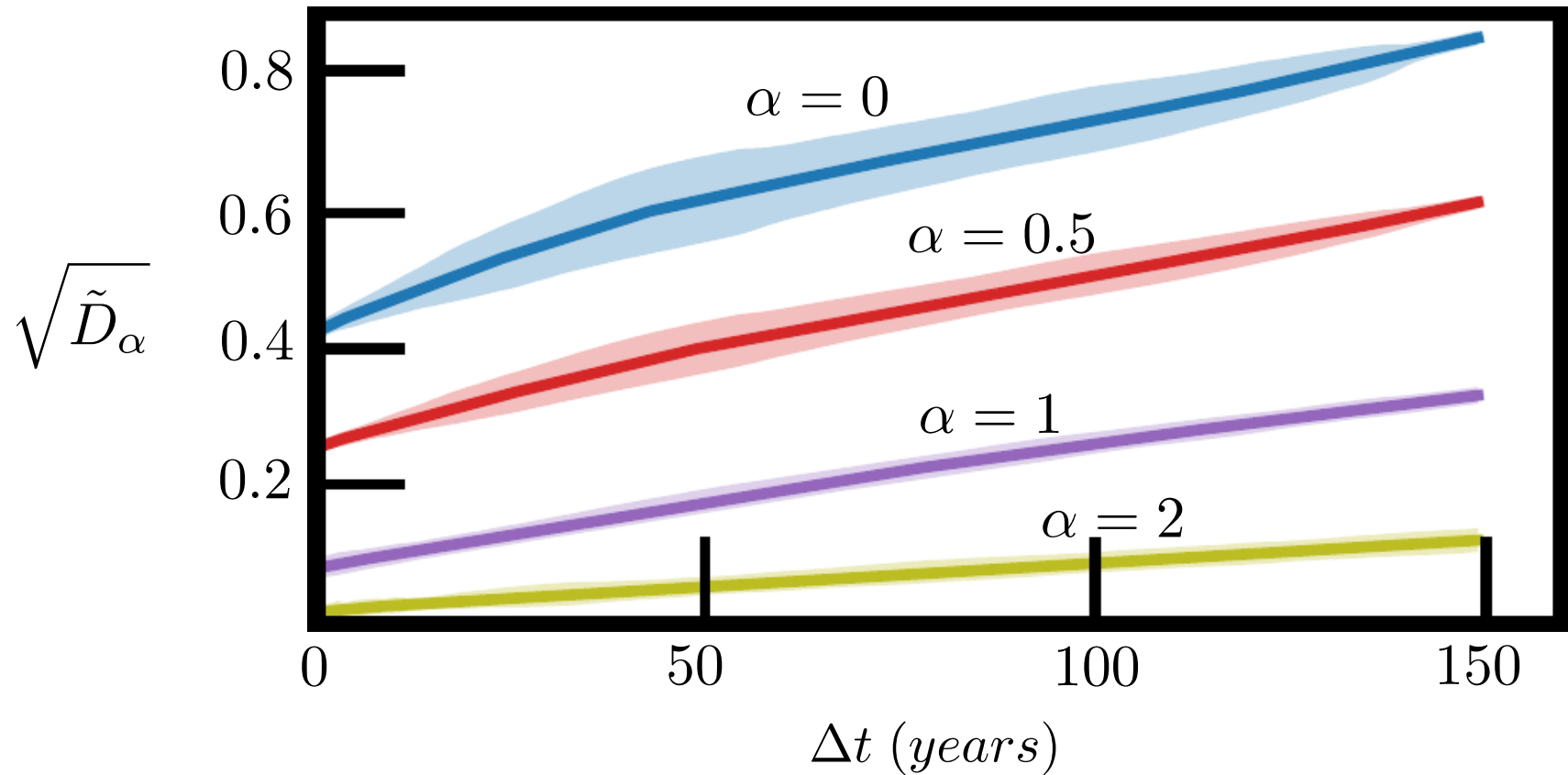
$V^{(\alpha)} \propto \begin{cases} N^{-\alpha+1+1/\gamma} & \alpha < 1 + 1/\gamma \\ \text{constant} & \alpha > 1 + 1/\gamma, \end{cases}$



# Change of English (Google n-gram database 1520-2010)



# Change of English (Google n-gram database 1520-2010)

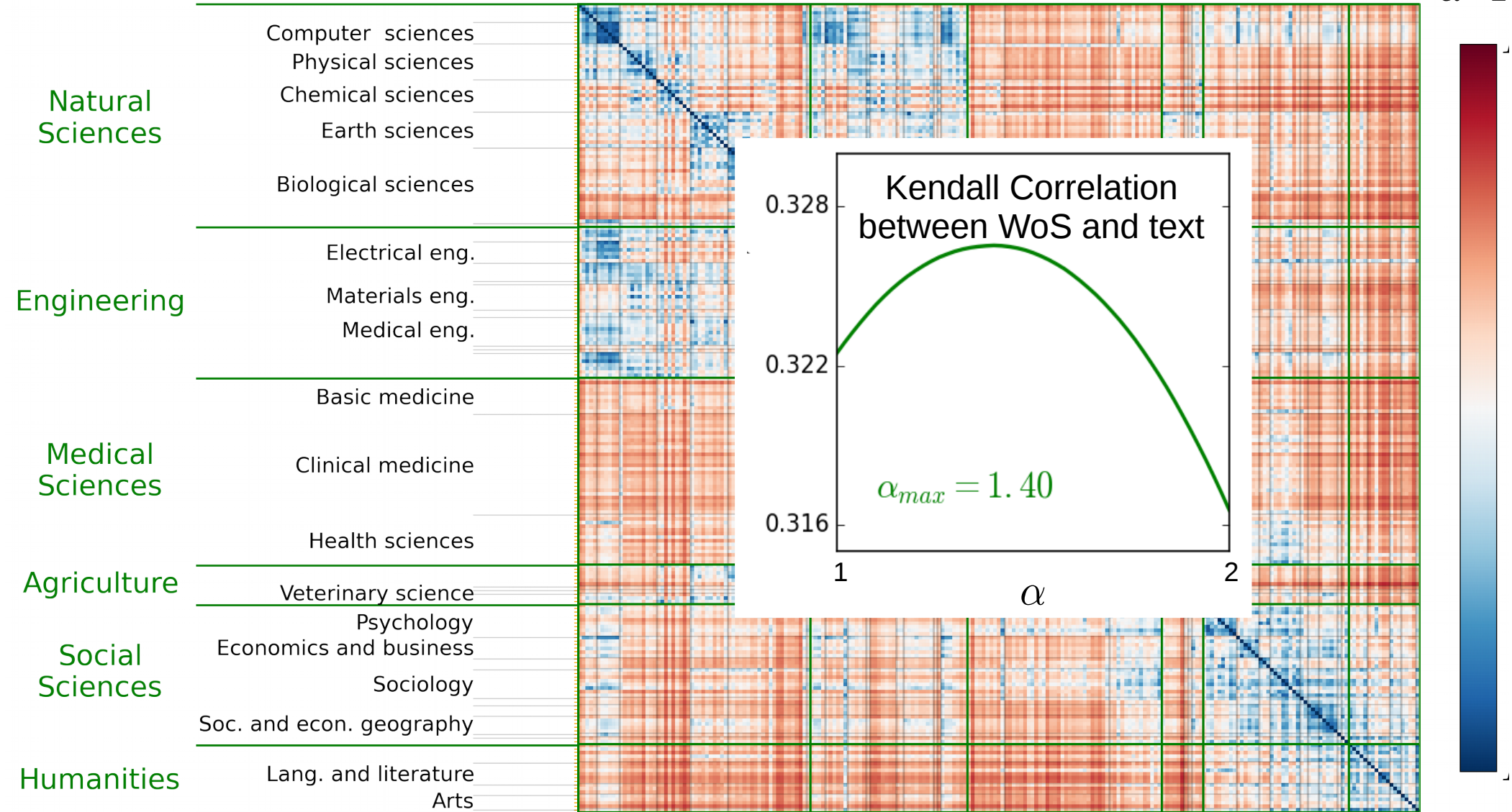


# Similarity of Scientific Disciplines (title and abstract of all Web of Science papers 1990-2014)

DOMAINS

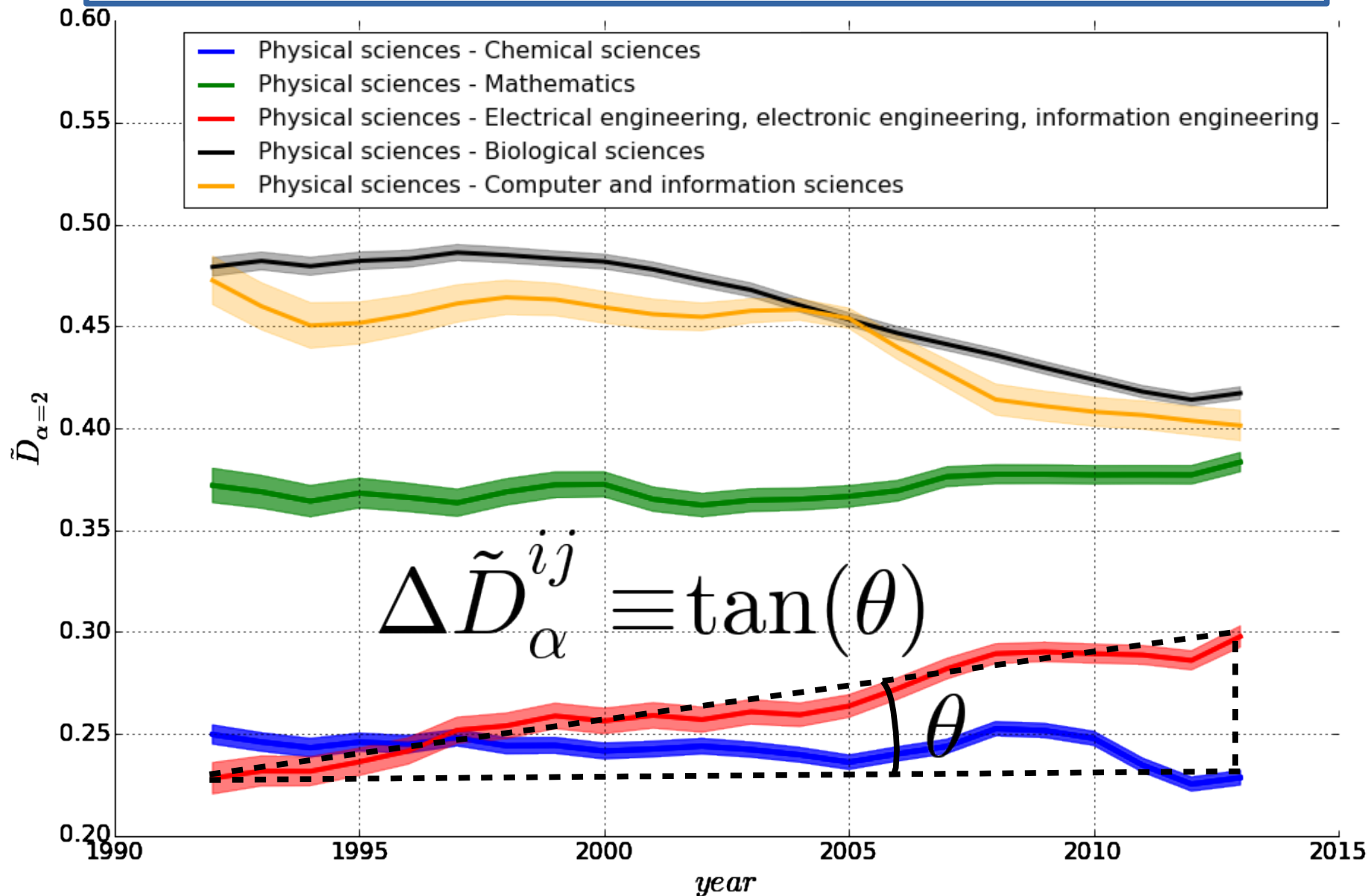
DISCIPLINES SPECIALTIES

$\tilde{D}_{\alpha=2}$



# Similarity of Scientific Disciplines

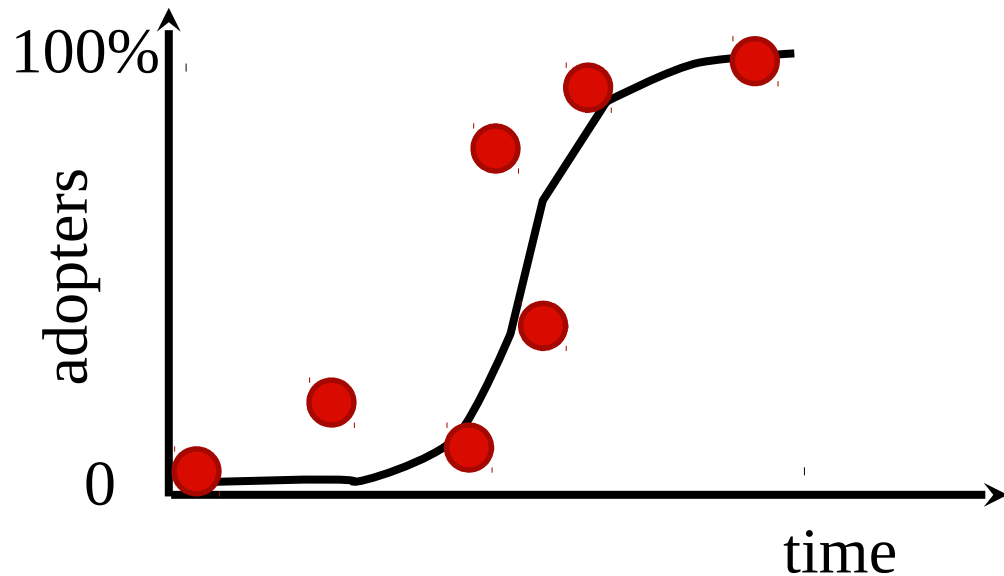
$\langle \Delta \tilde{D}_\alpha^{(i,j)} = 2 \rangle_{i,j} \approx 0$  Not significantly different from zero  
(T-test,  $p = 0.056$ ; Wilcoxon test  $p = 0.17$ )



## Adoption of new words

*“The progress of language change through a community follows a lawful course, an **S-curve** from minority to majority to totality.”*

Weinreich, Labov, Herzog, (1968)  
*Empirical foundations for a theory of language change*



What is the empirical support?

*“...up to a dozen points for a single change”*

R. A. Blythe and W. Croft,  
*Language* 88, 269 (2012)

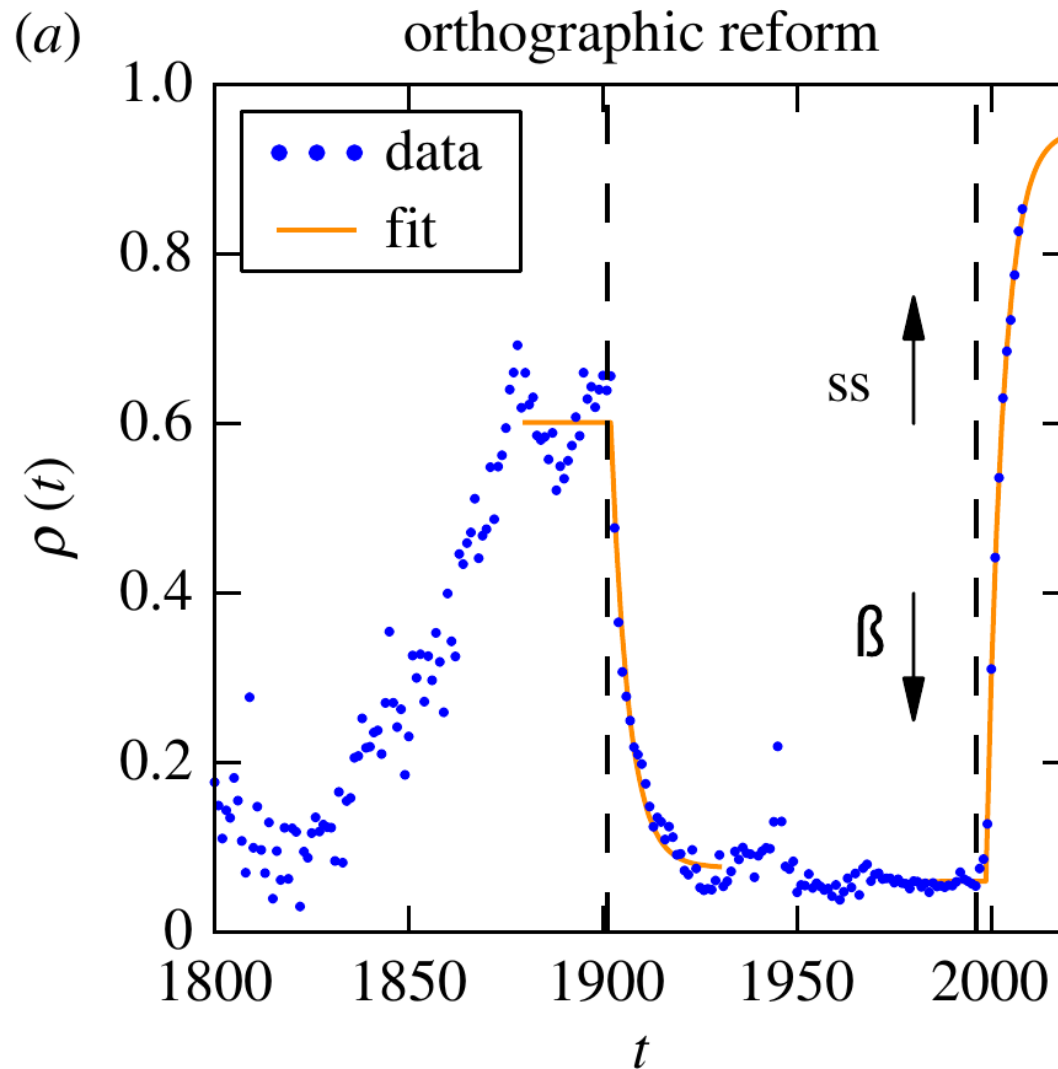
- Are all changes following S-curves? **No!**
- Are all S-curves the same? **No!**
- Can we extract from S-curves information about the process of change? **Yes!**



## Adoption of new words

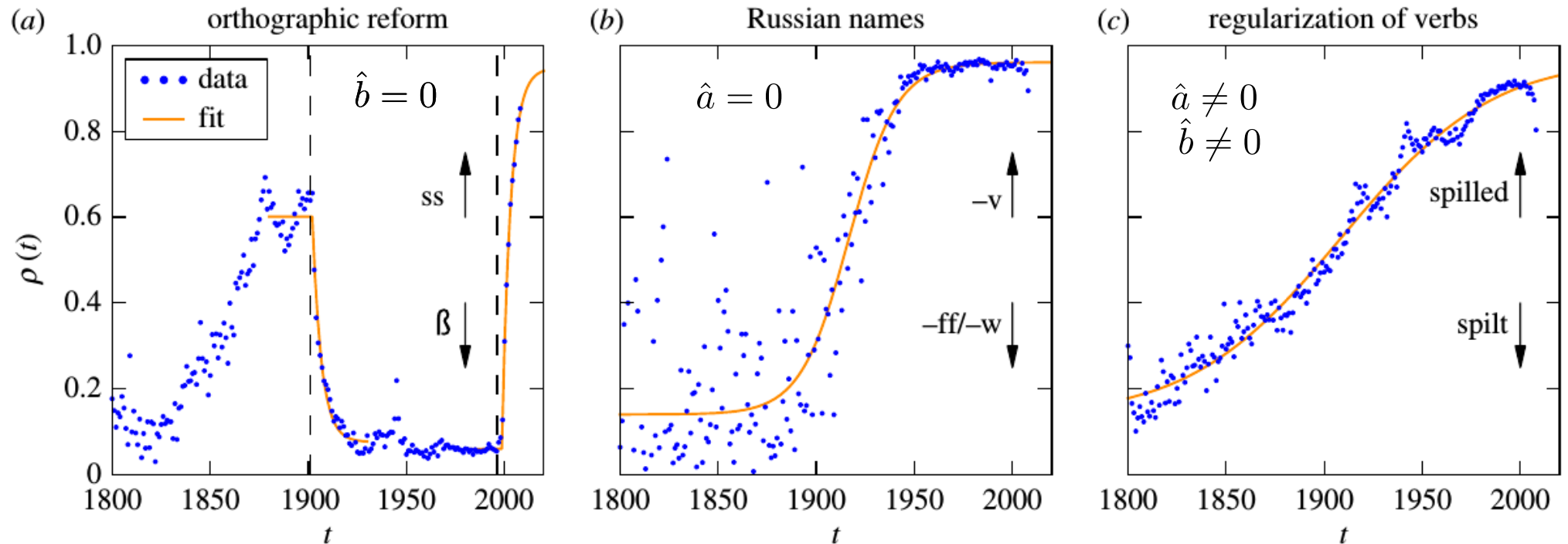
Orthography reform (1996):  $\beta \longrightarrow ss$

2,000 different words (e.g., Kongreß  $\longrightarrow$  Kongress)

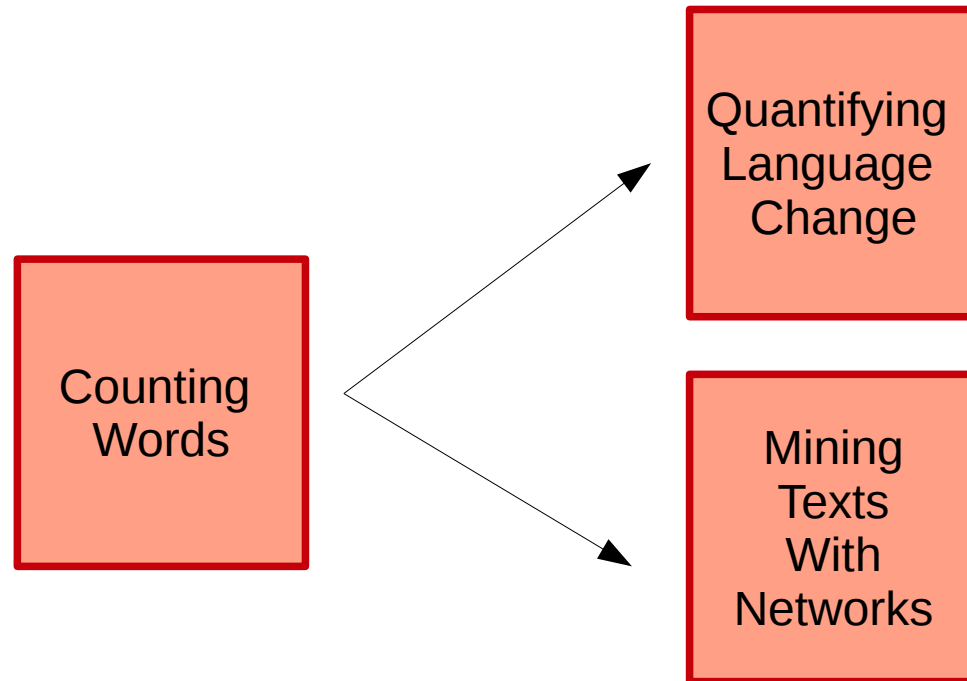


*Best fit*  
=  
*exponential*

# Adoption of new words



$$\frac{d\rho(t)}{dt} = (a + b \rho(t)) (1 - \rho(t)) \begin{cases} b = 0 \Rightarrow \rho(t) = \text{exponential} \\ a = 0 \Rightarrow \rho(t) = \text{symmetric S-curve} \end{cases}$$

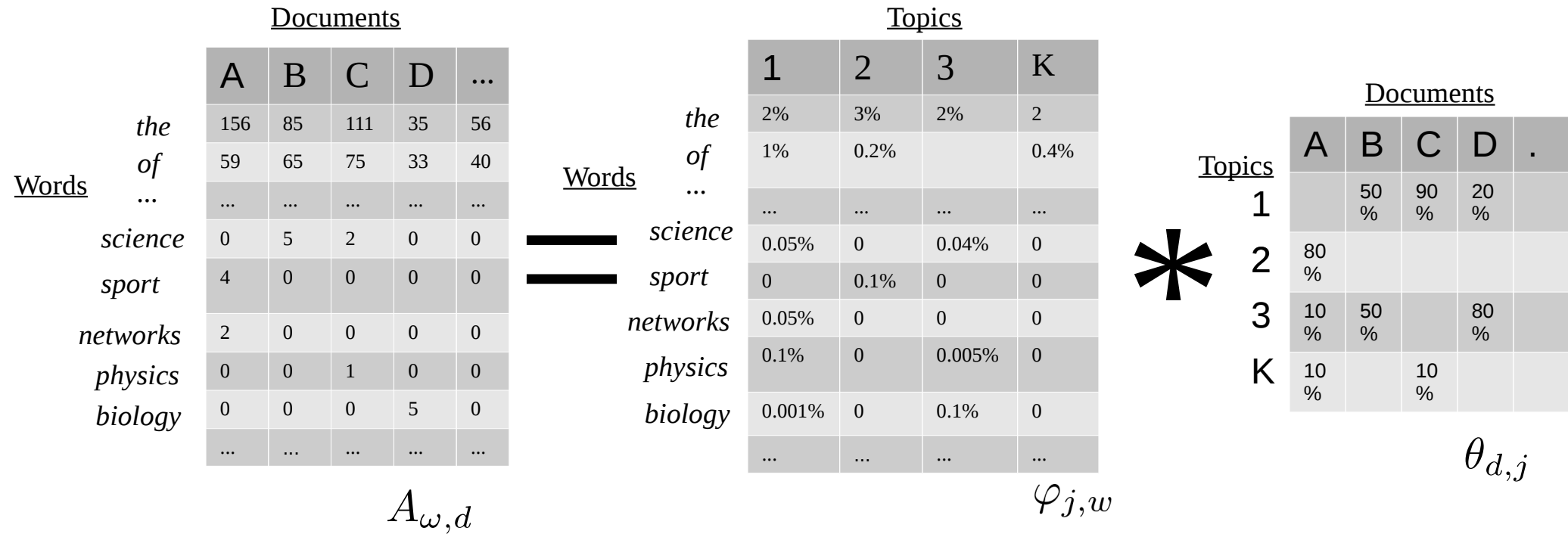


# Text mining

A	B	C	D	...
UNIVERSALIS DE JURE HOMINUM DECLARATIO	Cum dignitatis infixae omnibus humanae	Cum dignitatis infixae omnibus humanae	familiae partibus et eorum jurum aequalium,	...

		<u>Documents</u>				
<u>Words</u>		A	B	C	D	...
	<i>the</i>	156	85	111	35	56
	<i>of</i>	59	65	75	33	40
	...	...	...	...	...	...
	<i>science</i>	0	5	2	0	0
	<i>sport</i>	4	0	0	0	0
	<i>networks</i>	2	0	0	0	0
	<i>physics</i>	0	0	1	0	0
	<i>biology</i>	0	0	0	5	0
	...	...	...	...	...	...

# Topic Models



## Latent Dirichlet Allocation (LDA)

Blei, Ng, Jordan (Journal of Machine Learning 2003), >20k citations

Implementation: McCallum's MALLET (<http://mallet.cs.umass.edu>)

- Fixed number of topics K
- Dirichlet Priors
- Inference problem:

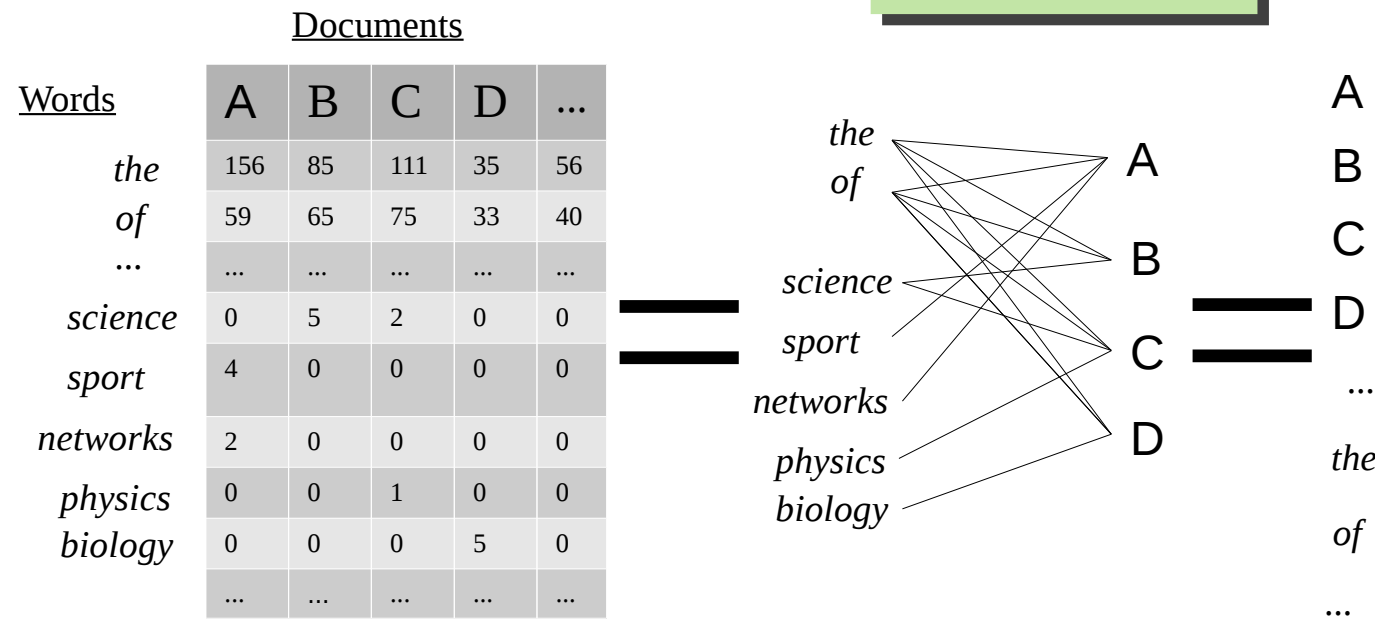
$$P(\text{Model} | \text{Data}) = P(\text{Data} | \text{Model}) \frac{P(\text{Model})}{P(\text{Data})}$$

$$\text{Data} = A_{\omega,d}$$

$$\text{Model} = \{\varphi_{j,w}, \theta_{d,j}\}$$

$$P(\text{Model}) = \text{Prior} = \begin{cases} \varphi_{j,w} \sim \text{Dir}(\beta) \\ \theta_{d,j} \sim \text{Dir}(\alpha) \end{cases}$$

# Communities in Networks



A	B	C	D	...	the	of	...
0	0	0	0		156	59	
0	0	0	0		85	65	
0	0	0	0		111	75	
0	0	0	0		35	33	
156	85	111	35		0	0	
59	65	75	33		0	0	

Connections to topic models: Ball, Karrer, Newman (2011), Lancichinetti et al (PRX 2014)

## Stochastic Block Models (SBM)

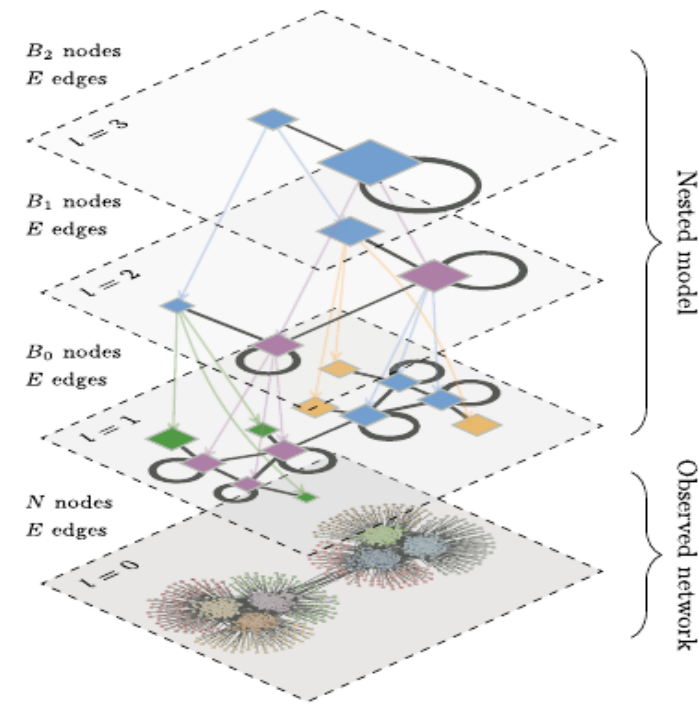
Holland, Laskey, Leinhardt (Social Networks 1983)

- Probability of connection between nodes depends on the blocks they belong
- Number of Blocks  $\ll$  Number of nodes (links)

## Generative model: non-parametric hierarchical SBM

Peixoto (PRX 2014, PRX 2015, <http://graph-tool.skewed.de>)

- number of blocks (topics) not fixed
- prior at one level is set by the upper hierarchy level
- each link (word token in a document) is assigned to a pair of blocks



Bayesian  
Likelihood  
Heuristics

Topic models

Community  
detection

LSI

Modularity

Probabilistic  
generative  
models

pLSI

SBM

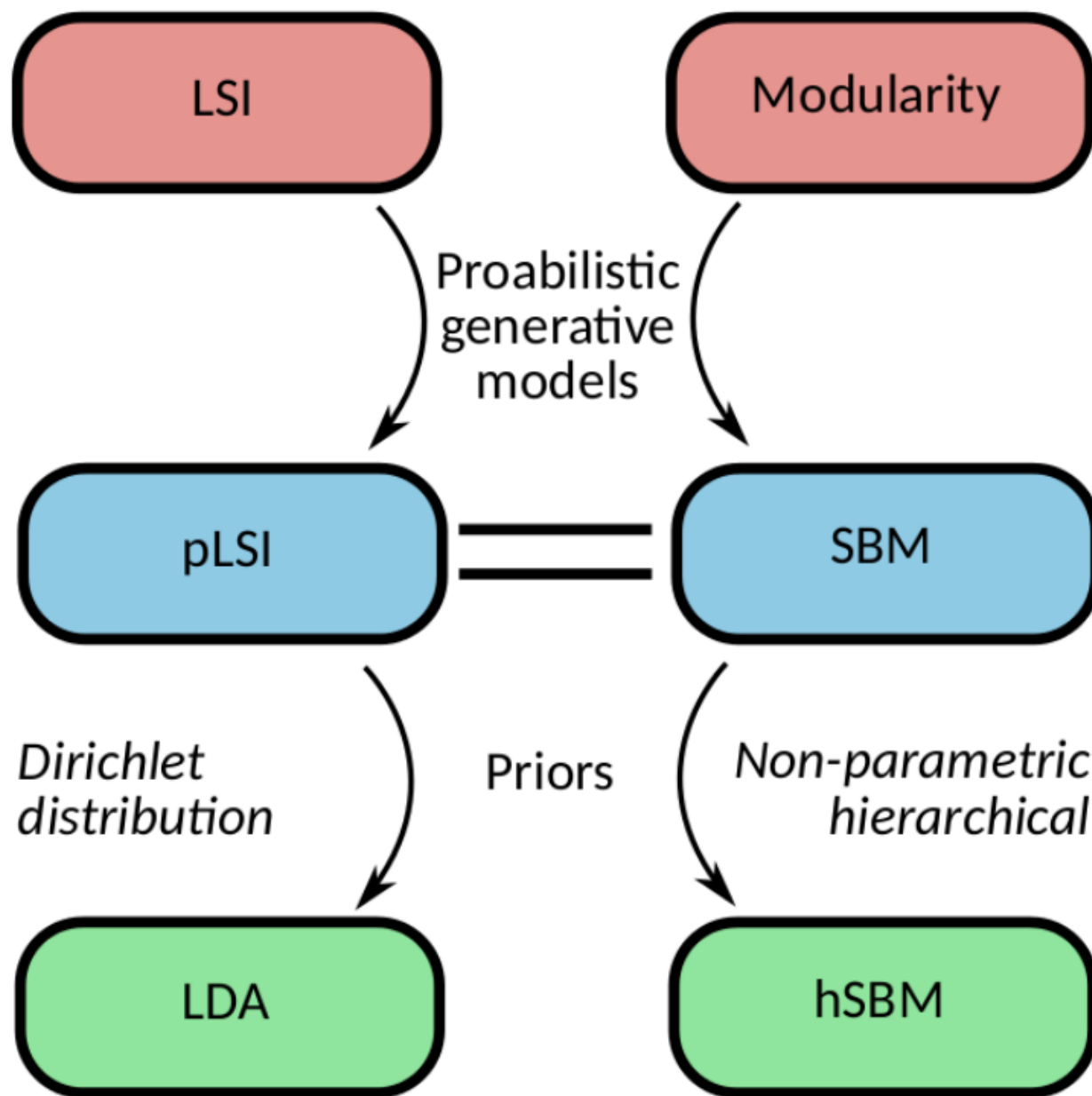
*Dirichlet  
distribution*

Priors

*Non-parametric  
hierarchical*

LDA

hSBM



# Model Comparison (between LDA and SBM)

Which model compacts better the data in terms of coding or description length (DL)?

Grünwald (*The Minimum Description Length Principle*, 2007)

$$\Sigma = DL(\text{data}|\text{model}) + DL(\text{model})$$

Minimum description length (MDL) for probabilistic models:

$$\hat{\Sigma} = -\log P(D|\hat{\theta}) - \log P(\hat{\theta})$$

- D= data

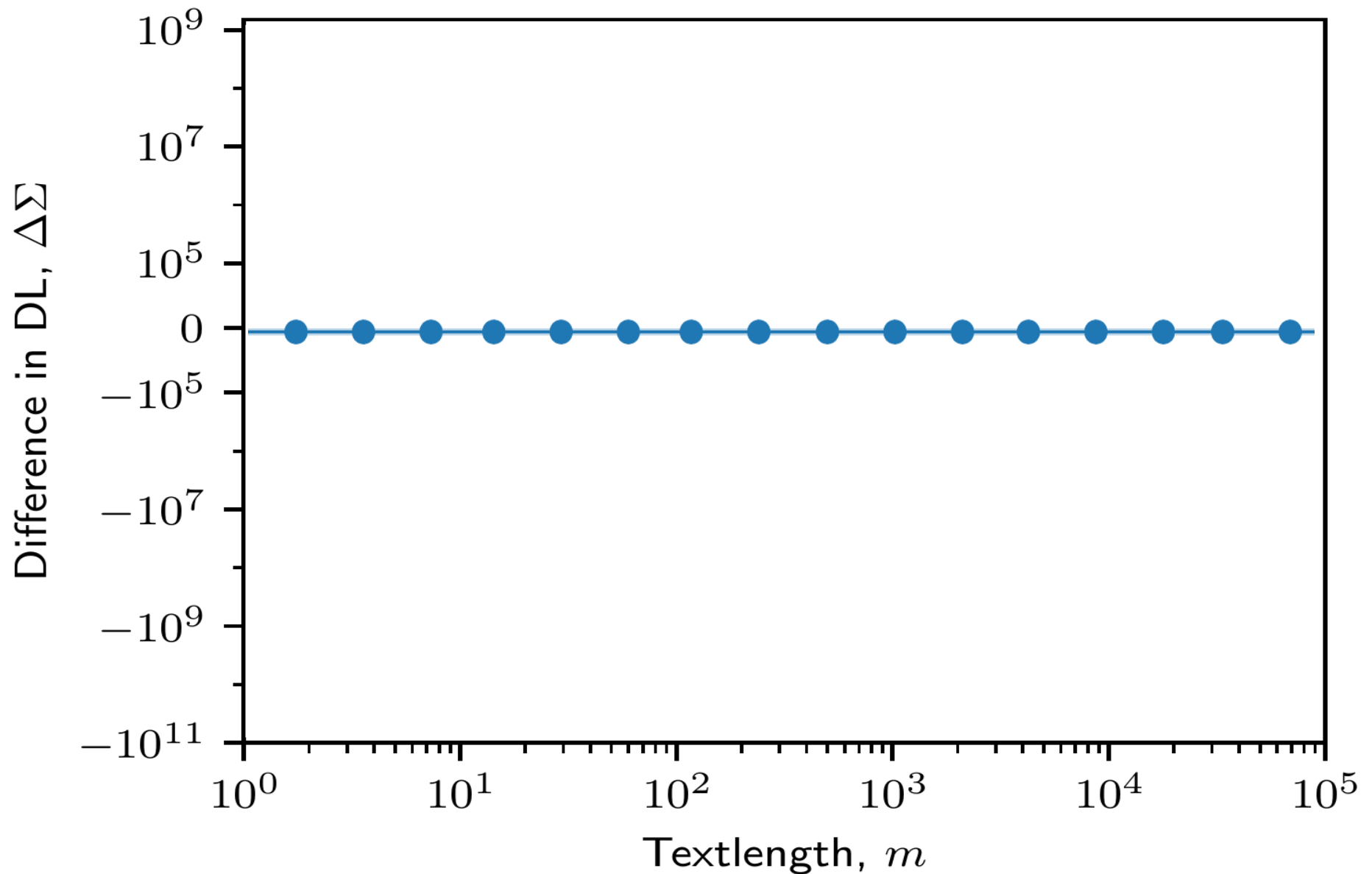
-  $\theta$  = discrete parameters of the model

$$\hat{\theta} = \underset{\theta}{\arg \max} P(D|\theta) P(\theta)$$

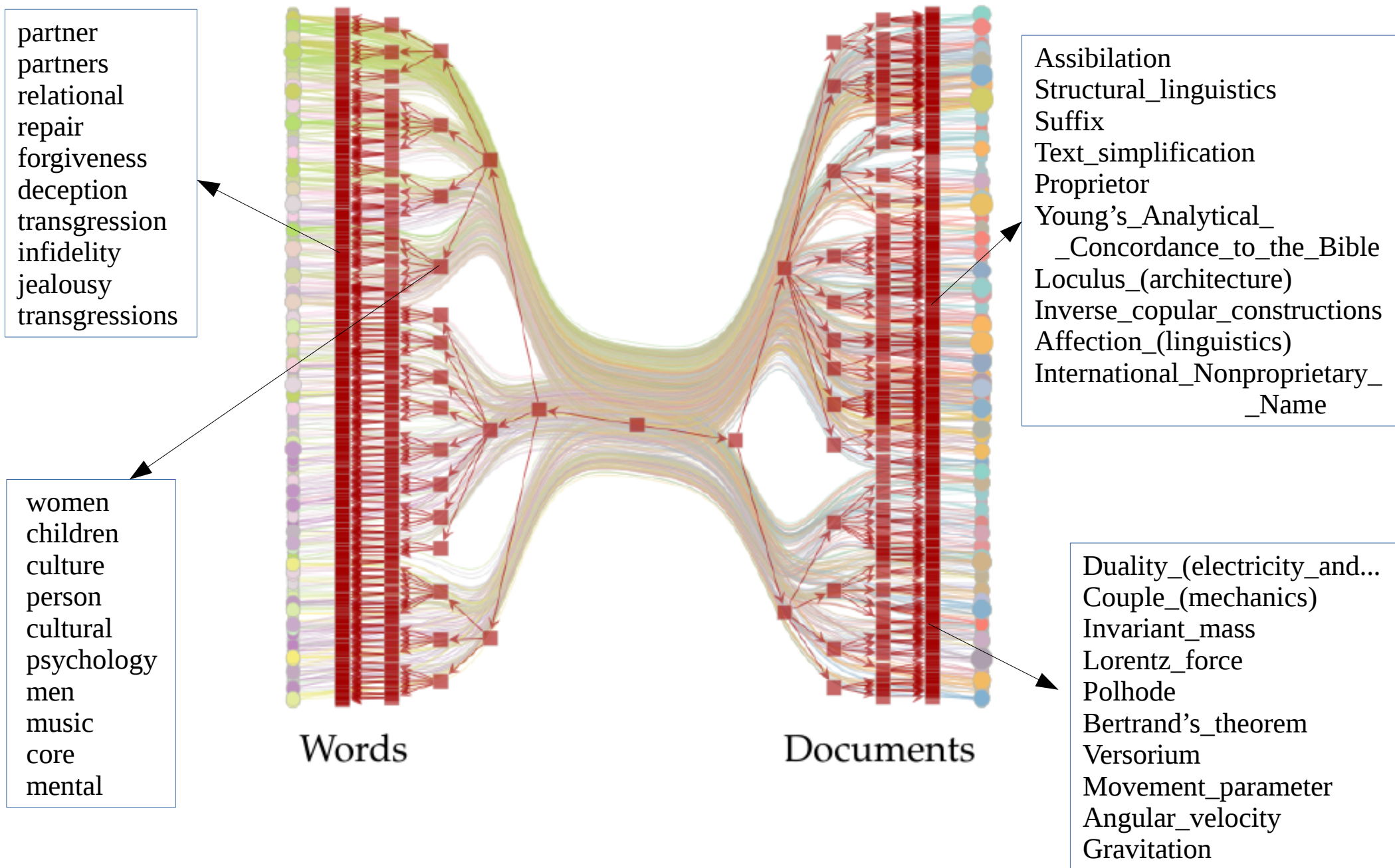
Corpus				$\Sigma_{\text{LDA}}$ (hyperfit)				$\Sigma_{\text{hSBM}}$	hSBM groups	
	Docs.	Words	Word Tokens	10	50	100	500		Doc.	Words
Twitter	10,000	12,258	196,625	1,140,357	1,110,186	1,091,998	1,056,321	963,260	365	359
Reuters	1,000	8,692	117,661	879,684	876,656	881,107	879,321	341,199	54	55
Web of Science	1,000	11,198	126,313	1,035,555	1,057,491	1,065,584	1,075,433	426,529	16	18
New York Times	1,000	32,415	335,749	2,701,001	2,699,711	2,695,955	2,693,749	1,448,631	124	125
PlosONE	1,000	68,188	5,172,908	9,782,605	49,497,904	49,326,867	48,741,824	8,475,866	897	972



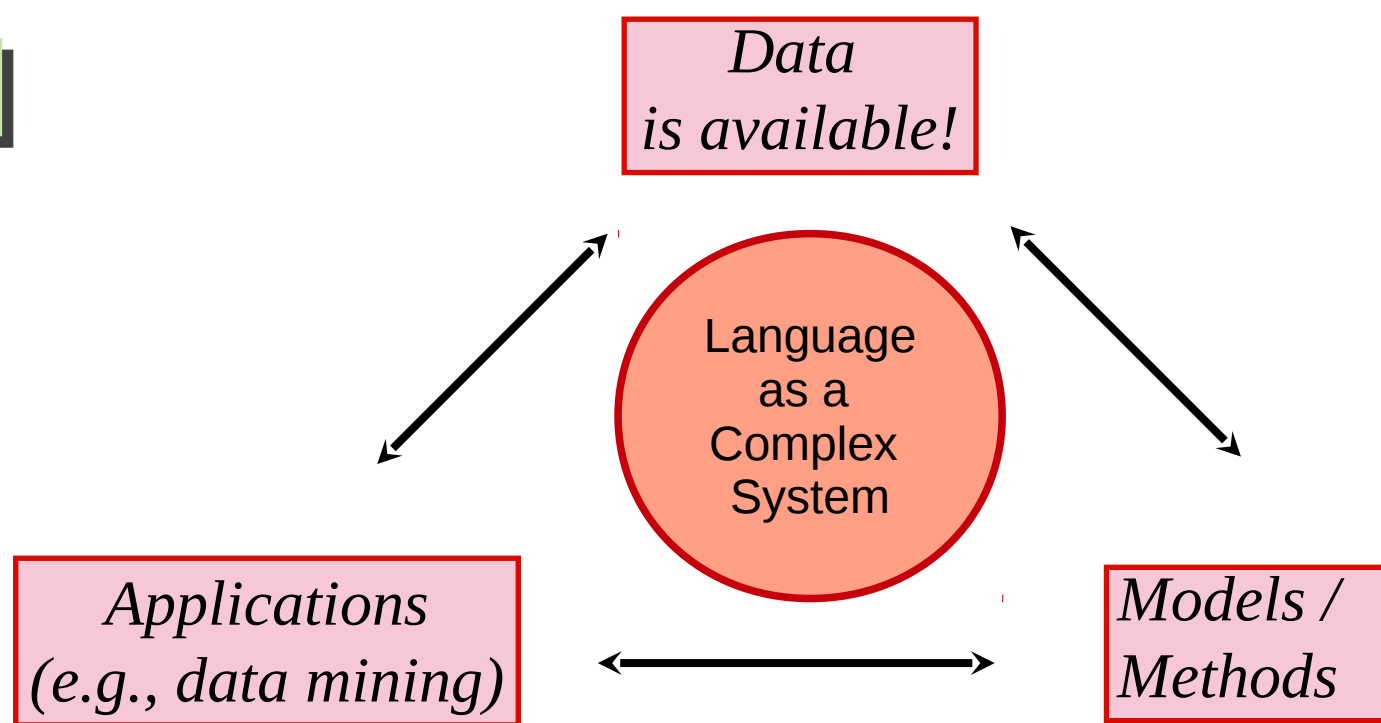
LDA generated documents:  
10 topics, 1M documents, following Heaps' and Zipf's laws



# Wikipedia Data



## Conclusions



**Thank you for your attention!**

- E. G. Altmann, G. Cristadoro, and M. Degli Esposti, *"On the origin of long-range correlations in texts"*, PNAS (2012)
- F. Ghanbarnejad, M. Gerlach, J. M. Miotto, and E. G. Altmann, *"Extracting information from S-curves of language change"*, J. Royal Soc. Interface (2014)
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