RESEARCH TRAINING PROGRAM
SCHOLARSHIPS POLICY 2018

The Academic Board, as delegate of the Senate of the University of Sydney, adopts the following policy.

Dated: 5 November 2018
Last amended: 7 December 2020 (commencing 1 January 2021)
20 July 2021 (commencing 21 July 2021)

Name: Professor Anthony Masters
Position: Chair, Academic Board

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1 Name of policy

This is the Research Training Program Scholarships Policy 2018.

2 Commencement

This policy commences on 5 November 2018.

3 Policy is binding

Except to the extent that a contrary intention is expressed, this policy binds the University, staff, students and affiliates.

4 Statement of intent

This policy:

(a) establishes the framework for administration of Australian Government Research Training Program Scholarships awarded by the University; and

(b) has been created in accordance with clause 1.6.45 of the Commonwealth Scholarship Guidelines (Research) 2017.

5 Application

(1) This policy applies to the administration of Australian Government Research Training Program Scholarships for higher degree by research students. It covers the following scholarships:

(a) Research Training Program Fee Offset;
(b) Research Training Program Stipend; and
(c) Research Training Program Allowance.

(2) This policy does not apply to:

(a) higher degree by research scholarships offered by individual faculties or University schools;
(b) higher degree by research scholarships offered from bequest or grant funding;
(c) higher degree by research scholarships funded from other Australian Government funding, such as National Health and Medical Research Council Scholarships or Australia Awards; or
(d) any other higher degree by research scholarships offered University-wide.
6 Definitions

continuing scholarship student means either:

• a student who receives a scholarship under an Australian Government scholarship program that preceded the RTP, which would terminate on or after 1 January 2017; or
• a student who has received an offer of a scholarship under an Australian Government scholarship program, and whose offer was scheduled to commence on or after 1 January 2017.

Dean means, as appropriate, any of:

• the Executive Dean and Pro Vice-Chancellor of the Faculty of Medicine and Health; or
• Dean of a faculty; or
• the Head of School and Dean of a University school.

faculty means a faculty or University school.

HDR means a higher degree by research offered by the University in accordance with the University of Sydney (Higher Degree by Research) Rule 2011.

HDRAC means the University’s Higher Degree by Research Administration Centre.

HDR Scholarships Subcommittee (HDRSSC) means the Higher Degree by Research Scholarships Subcommittee of the Academic Board Graduate Studies Committee.

higher education provider means a higher education provider as specified in section 16-15 or section 16-20 of the Higher Education Support Act 2003 (Cth).

recipient means, unless further defined, a student who has been awarded any of an RTP Fee Offset, RTP Stipend or an RTP Allowance.

research period has the meaning given in the University of Sydney (Higher Degree by Research) Rule 2011, which at the date of this policy:

   means an enrolment period set by the University and published on its website.

   Note: Research periods are published at:
   http://sydney.edu.au/study/study-dates.html

RTP means the Australian Government Research Training Program.
RTP Allowance means a scholarship funded by the RTP and paid by the University to a student to assist them with ancillary costs of an HDR course, including but not limited to:

- for international students, a standard overseas student health cover policy approved by the Commonwealth Department of Health which covers the student and any spouse and dependants; and
- study related relocation costs.

RTP Fee Offset means a scholarship funded by the RTP and paid by the University to a student to meet their liability for tuition fees. The RTP Fee Offset covers all tuition fees payable to the University for the course of study for the duration of the scholarship.


RTP Scholarship means any or all of an RTP Fee Offset, RTP Stipend or an RTP Allowance.

RTP Stipend means a scholarship funded by the RTP and paid by the University to a student to assist with their living costs while undertaking an HDR course.

supervisor means, in relation to a HDR student, a person appointed to discharge the responsibilities set out in clauses 13 and 15 of the Higher Degree by Research Supervision Policy 2020.

7 Eligibility

(1) A domestic or an international student may be offered an RTP Scholarship if they meet, or are considered likely to meet, the relevant criteria. However, a student may only receive an RTP Scholarship if they are enrolled in, or have an unconditional offer of admission to, an HDR course.

Note: See the University of Sydney (Higher Degrees by Research) Rule 2011 for information about available higher degrees by research.

(2) A student will not be eligible for an RTP Fee Offset if they are currently receiving an Australian Government award or scholarship designed to offset HDR tuition fees.

(3) A student will not be eligible for an RTP Stipend if they are receiving income from another source to support their general living costs while undertaking their course of study if that income is greater than 75 per cent of that student’s RTP Stipend rate.

(a) Income unrelated to the student’s course of study or income received for the student’s course of study but not for the purposes of supporting general living costs is not to be taken into account.
8 RTP Fee Offsets

(1) The University will automatically consider domestic students for an RTP Fee Offset when they apply for an HDR course.

(2) The University will automatically consider international students for an RTP Fee Offset if they apply for an RTP Stipend under clause 9 of this policy.

(3) An RTP Fee Offset will be awarded to domestic students who:
   (a) receive an unconditional offer of admission to an HDR course; and
   (b) meet the eligibility criteria under clause 7 of this policy.

(4) An RTP Fee Offset will be awarded to international students who:
   (a) receive an unconditional offer of admission to an HDR;
   (b) are awarded an RTP Stipend; and
   (c) meet the eligibility criteria under clause 7 of this policy.

Note: Tuition fees are offset after the relevant census date for each research period.

9 RTP Stipends generally

(1) Subject to subclause 9(3), students should apply to be considered for an RTP Stipend at the time they apply for HDR enrolment.

(2) Each applicant must:
   (a) indicate that they wish to be considered for an RTP Stipend as part of the application for admission to their HDR course; and
   (b) submit the required supporting material in the manner specified on the Scholarships Office website.

(3) Students who are already admitted to candidature may apply by completing the application form available on the Scholarships Office website.

(4) RTP Stipends will be awarded by competitive processes determined by the Academic Board, consistently with the principles set out in clauses 10 and 11 of this policy.

Note: Details of the processes are available from the Scholarships Office website.

(5) Funds available for RTP Stipends will be allocated on the basis of:
   (a) 80% for a general pool; and
   (b) 20% in support of strategic research projects nominated by the Deputy Vice-Chancellor (Research).

10 RTP Stipends from the general pool

(1) Recipients of RTP Stipends from the general pool will be selected on the bases of:
   (a) academic merit;
   (b) research experience;
(c) availability of a high quality research environment and resources to support the candidature; and
(d) availability of high quality supervisory arrangements to support the candidature.

(2) Applications for scholarships will be considered twice each year, at times determined by the HDRSSC after consultation with the Graduate Studies Committee of the Academic Board.

(3) The Scholarships Office will undertake an initial assessment of applications to:
(a) identify eligible applicants; and
(b) prepare an initial ranking for each faculty, taking into consideration the following factors as applicable:
   (i) first class honours degree;
   (ii) first class honours equivalent degree;
   (iii) Masters by research degree;
   (iv) the world rankings of the institution that conferred the relevant qualification;
   (v) research experience;
   (vi) the availability of funding and support for the candidature from the relevant faculty;
   (vii) the alignment of the proposed project with the research achievements and activities of the relevant faculty; and
   (viii) the experience and expertise of the proposed supervisory team.

(4) The faculty will review the Scholarships Office’s assessment and initial ranking and determine a list of proposed RTP Stipend recipients. In doing so, applicants may be prioritised on the basis of any of:
(a) whether the applicant is an Aboriginal or Torres Strait Islander person;
(b) whether this is the first time that the applicant would receive an Australian Government or University scholarship to complete an HDR at the same level of study; or
(c) whether the current course of study is the first that the applicant will complete at that level.

(5) Faculties will provide the HDRSSC with:
(a) their ranked lists of applicants; and
(b) their list of recommended RTP Stipend recipients.

(6) The HDRSSC will consider the lists and recommendations provided by each faculty and determine the award of RTP Stipends.

(7) In exceptional circumstances, where it is impossible or impractical for the HDRSSC to make a decision about the award of an RTP Stipend, the Chair of the Academic Board may make this decision after consultation with the Chair of the HDRSSC.
11 RTP Stipends in support of strategic research projects

(1) The Deputy Vice-Chancellor (Research) will determine research projects which will be supported by award of an RTP Stipend to a PhD student.

(2) Such projects must:
   (a) have been awarded grant funding through a national or international competitive grant scheme; and
   (b) be aligned with the University’s current strategic research priorities.

(3) RTP Stipends in support of strategic research projects will be advertised individually, at a time determined by the Deputy Vice-Chancellor (Research).

(4) Recipients of RTP Stipends in support of strategic research projects will be selected on the bases of:
   (a) academic merit;
   (b) research experience;
   (c) availability of a high quality research environment and resources to support the candidature;
   (d) availability of high quality supervisory arrangements to support the candidature; and
   (e) the alignment of the proposed student project to the relevant grant funded project.

(5) For each such scholarship, the Scholarships Office will undertake an initial assessment of applications to:
   (a) identify eligible applicants; and
   (b) prepare an initial ranking, taking into consideration the following factors as applicable:
      (i) first class honours degree;
      (ii) first class honours equivalent degree;
      (iii) Masters by research degree;
      (iv) the world rankings of the institution that conferred the relevant qualification;
      (v) research experience;
      (vi) the availability of funding and support for the candidature from the relevant faculty;
      (vii) the alignment of the proposed project with the research achievements and activities of the relevant faculty;
      (viii) the experience and expertise of the proposed supervisory team; and
      (ix) alignment of the proposed student project to the relevant grant funded project.

(6) The Deputy Vice-Chancellor (Research), in consultation with the relevant chief investigator, will review the Scholarships Office’s assessment and initial ranking and determine the proposed RTP Stipend recipient. In doing so, applicants may be prioritised on the basis of any of:
   (a) whether the applicant is an Aboriginal or Torres Strait Islander person;
(b) whether this is the first time that the applicant would receive an Australian Government or University scholarship to complete an HDR at the same level of study; or

(c) whether the current course of study is the first that the applicant will complete at that level.

(7) The Deputy Vice-Chancellor (Research) will provide the HDRSSC with:

(a) the ranked list of applicants; and

(b) details of the proposed RTP Stipend recipient.

(8) The HDRSSC will consider the list and recommendation provided and determine the award of the RTP Stipend.

(9) In exceptional circumstances, where it is impossible or impractical for the HDRSSC to make a decision about the award of an RTP Stipend, the Chair the Academic Board may this decision after consultation with the Chair of the HDRSSC.

12 RTP Stipend rates

(1) The RTP Stipend rate will be determined in accordance with clause 1.6.10 of the RTP Guidelines.

(2) The University will offer each recipient an RTP Stipend rate at least equal to the base full time rate and no more than the maximum full time rate that is advised by the Commonwealth Department of Education and Training under clause 1.6.10(4) of the RTP Guidelines.

(3) Each year, the University will pay recipients their RTP Stipend in proportion to the period for which the recipient has been awarded the RTP Stipend.

(4) RTP Stipend payments will be paid on a fortnightly basis.

(5) If a recipient is approved to study on a part-time basis in accordance with clause 18 of this policy, then the recipient’s part time RTP Stipend rate will be 50 per cent of the applicable full-time RTP Stipend rate.

13 RTP Allowances

(1) RTP Allowances may be awarded to a student (domestic or international) who also receives either or both of an RTP Fee Offset or an RTP Stipend.

(2) Domestic students will be provided with a relocation allowance in accordance with the Research Training Program Relocation Allowance Guideline (Domestic).

(3) All other available RTP Allowances will be detailed in the relevant RTP Scholarship terms and conditions that are published in accordance with clause 15 of this policy.

14 Offers

The University will notify applicants of the outcome of their RTP Scholarship application by email to the applicant’s University email address (for current students), or the preferred email address contained in the student’s application for admission.
15 Terms and conditions

(1) The Deputy Vice Chancellor (Education) must determine the terms and conditions for RTP Scholarships, which must specify:

(a) eligibility requirements;
(b) benefits provided;
(c) duration of support;
(d) application, selection and offer processes;
(e) extension and suspension arrangements;
(f) paid leave or work arrangements;
(g) arrangements for changes in student circumstances, such as:
   (i) change in award course;
   (ii) change from full-time to part-time study or vice versa;
   (iii) change of institution;
   (iv) change of research area.
(h) circumstances in which support may be terminated; and
(i) any necessary transitional arrangements for scholarship holders under prior schemes.

(2) The Scholarships Office must publish details of available RTP Scholarships and how to apply for them on the University’s scholarships website. This web page must:

(a) include copies of the terms and conditions applying to RTP Scholarships; and
(b) be linked to the student recognition and support awards web page established under clause 9 of the Scholarships and Student Recognition Awards Policy 2016.

16 Duration

(1) The maximum duration of an RTP Scholarship for a Master’s by research student is:

(a) RTP Stipend – eight research periods;
(b) RTP Fee Offset – eight research periods;
(c) RTP Allowance – in accordance with the time limits set out in the HDR Thesis Expenses Allowance Guidelines.

(2) The maximum duration of a scholarship for a Doctorate by research student is:

(a) RTP Stipend – 42 months;
   Note: See Progress Planning and Review for Higher Degree by Research Students Policy 2016.
(b) RTP Fee Offset (domestic students) – sixteen research periods;
(c) RTP Fee Offset (international students) – fourteen research periods.
(3) Periods of study already undertaken towards the degree prior to the commencement of the RTP Scholarship will be deducted from the maximum duration of the RTP Scholarship.

17 Suspension and deferral

(1) A recipient may defer their RTP Scholarship for up to one research period with the approval of:
   (a) their supervisor; and
   (b) the relevant Associate Dean (Research Education).

(2) A recipient may not apply to suspend their RTP Scholarship within their first six months of study, unless:
   (a) permitted by a legislative provision; or
   (b) approved by the relevant Associate Dean (Research Education) on the basis of special circumstances
      (i) Special circumstances include carer responsibilities or medical conditions and will generally be out of the recipient’s control.

(3) After the first six months, a recipient may apply to suspend their RTP Scholarship for up to 12 months, for any reason.
   (a) Periods of suspension are cumulative and failure to resume study after suspension will result in the RTP Scholarship being terminated.
   (b) The suspension must be approved by the relevant Associate Dean (Research Education).
   (c) Periods of study towards the relevant degree during suspension of the RTP Scholarship will be considered as advanced standing and be deducted from the maximum tenure of the RTP Scholarship.

   Note: International students should check the terms and restrictions applicable to their visas before suspending.

(4) An RTP Scholarship will be suspended during any period of suspension of studies.

18 Leave

(1) The recipient of an RTP Stipend is eligible for paid leave in accordance with the RTP Guidelines. Leave entitlements include:
   (a) recreation leave;
   (b) sick leave; and
   (c) maternity leave.

   Note: Details of the specific leave entitlements for RTP Scholarships are set out in the Research Training Program Stipend Scholarship (Domestic) Terms and Conditions and the Research Training Program Stipend Scholarship (International) Terms and Conditions 2018.

(2) Periods of leave under this clause are not considered part of the period of support under an RTP Scholarship.
19 Part-time study

(1) Domestic student recipients of an RTP Fee Offset may study on a part-time basis with the approval of the relevant Associate Dean (Research Education).

(2) All other recipients of RTP Scholarships may study on a part-time basis only:
   (a) with the approval of the relevant Associate Dean (Research Education); and
   (b) on the basis of special circumstances.
       (i) Special circumstances include carer responsibilities or a medical condition and are generally outside of the recipient's control.
       (ii) Work commitments are not considered special circumstances.

(3) International students will only receive approval to study part-time if it is permitted under their visa.

(4) Recipients enrolled part-time must undertake 50 per cent of the full time equivalent study load.
   (a) The scholarship duration will be adjusted accordingly.

(5) If a recipient converts from part-time to full-time study or vice versa:
   (a) the recipient must notify the University of the change to their enrolment in accordance with clause 21(2) of this policy; and
   (b) the scholarship duration will be adjusted accordingly.

(6) Maximum durations specified in clause 16 of this policy will apply.

20 Work restrictions

(1) International students must refer to their visa conditions to determine their ability to undertake work outside of their HDR course.
   (a) The application fee for a work permit, if required, is not covered under an RTP Scholarship.

(2) Delays in completion of studies due to employment commitments will not be accepted as grounds for an extension of an RTP Scholarship.

21 Changes to enrolment

(1) Recipients may transfer from a Master’s by research to a Doctorate by research, or vice versa. In such cases, this policy will apply as if the recipient had been undertaking the new course from the date of commencement of their original course.

(2) A recipient who changes their enrolment must inform the following within 10 working days:
   (a) their supervisor, by written notice; and
   (b) the University, through the Sydney Student portal.

(3) Changes to enrolment include, but are not limited to:
   (a) changes to attendance pattern;
   (b) suspension;
(c) leave of absence;
(d) withdrawal;
(e) course transfer;
(f) change in field of education code;
(g) candidature conversion.

(4) HDRAC will notify a recipient if any change to the recipient’s enrolment affects their entitlement to an RTP Scholarship.

(5) The University may require a recipient to repay any RTP Stipend or RTP Allowances that were overpaid as a result of a change to enrolment.

22 Transfer to and from another higher education provider

(1) A student transferring to the University from another higher education provider is eligible to apply for an RTP Scholarship.

(a) The student must provide evidence of any earlier periods of study that would count toward an RTP Scholarship as part of their application for admission to the relevant course.

(2) A student transferring to another higher education provider from the University may request from HDRAC a statement of the period they have been in receipt of an RTP Scholarship.

23 Supervision and facilities

(1) Supervision of HDR students is governed by the Higher Degree by Research Supervision Policy 2020.

Note: In particular, the responsibilities of supervisors are set out in clause 13 and 15 of the Higher Degree by Research Supervision Policy 2020. See also the Progress Planning and Review for Higher Degree by Research Students Policy 2015.

(2) The provision of facilities to HDR students is governed by Schedule 1 of the Higher Degree by Research Supervision Policy 2020.

24 Industry placements, research internships and professional practice activities

(1) Recipients may undertake industry placements, research internships, and professional practice activities of up to six months’ duration that are approved as part of their HDR course by:

(a) their supervisor;

(b) the relevant Associate Dean (Research Education).

(2) RTP Fee Offset recipients are eligible to have their scholarship extended for the duration of an approved placement, research internship or professional practice activity.

(a) The total length of the RTP Fee Offset cannot extend beyond the maximum duration set out in clause 16 of this policy.
(3) RTP Stipends may be extended for the duration of an approved industry placement, research internship or professional practice activity if:
   (a) the recipient already holds, and remains eligible for, an RTP Stipend;
   (b) no other income is received from these activities; and
   (c) the maximum duration of the RTP Stipend has not been reached.

25 Acknowledgement of RTP scholarship

(1) The Australian Government’s contribution must be formally acknowledged when, at any time during or after completion of a relevant HDR course:
   (a) the recipient;
   (b) the recipient’s supervisor; or
   (c) any other party
publishes or produces material (such as books, articles, newsletters or other literary or artistic works) relating to the recipient’s research project.

(2) This requirement is met by including the following statement in any materials: “This research is supported by an Australian Government Research Training Program (RTP) Scholarship”.

26 Termination

(1) An RTP Fee Offset or an RTP Stipend will be terminated when:
   (a) the recipient submits their thesis for examination;
   (b) the recipient exceeds the maximum duration applicable to their RTP Scholarship as set out in clause 16;
   (c) the recipient ceases to be enrolled in their course, without approved leave or suspension;
   (d) the relevant faculty determines that the recipient:
      (i) is not carrying out the course of study with competence and diligence;
      (ii) is not maintaining satisfactory academic progress;

Note: See Progress Planning and Review for Higher Degree by Research Students Policy 2015.

(e) a finding is made against the recipient of:
   (i) misconduct, under the University of Sydney (Student Discipline) Rule 2016; or
   (ii) code breach or research misconduct, under the Research Code of Conduct 2019.

Note: See clause 2.1 of the University of Sydney (Student Discipline) Rule 2016 for the definition of misconduct. See clauses 18 and 19 of the Research Code of Conduct 2019 for the definition of research misconduct and a code breach, respectively.
(f) the recipient, having commenced as an international student, becomes an Australian Permanent Resident.

(i) In such cases the recipient will be eligible to continue with an RTP Fee Offset and an RTP Stipend as a domestic student provided that they continue to meet progress requirements as identified in the annual progress review process.

**Note:** See *Progress Planning and Review for Higher Degree by Research Students Policy 2015*.

(ii) International students who obtain Australian permanent residence before or during the course of their enrolment at the University must notify Student Fees, Student Administration Services Office and HDRAC immediately upon issue of their Australian permanent resident visa.

(2) HDRAC will inform a recipient of the termination of an RTP Fee Offset or an RTP Stipend under clause 26(1) by email to their University email address.

### 27 Grievance and review procedures

The *Resolution of Complaints Policy 2015* and the *Student Complaints Procedures 2015* apply to the award, administration and termination of RTP Scholarships.

### 28 Transitional arrangements

(1) The University will offer each continuing scholarship student an RTP Scholarship in a form that:

- (a) does not disadvantage them; and
- (b) provides at least equivalent support to that provided, or which would have been provided, through the relevant predecessor Australian Government programs.

(2) The duration of the RTP Scholarship will be considered as starting from the date the continuing scholarship student commenced their course of study.

### 29 Extraordinary measures

(1) In extraordinary circumstances, the Deputy Vice-Chancellor (Education) may vary terms and conditions of RTP Scholarships without prior notice.

(2) Such variations must be approved by the Chair of the Academic Board before being enacted.
NOTES

Research Training Program Scholarships Policy 2018

Date adopted: 2 October 2018
Date commenced: 5 November 2018
Date amended; 15 April 2020 (commencing 6 May 2020)
7 December 2020 (commencing 1 January 2021)
20 July 2021 (commencing 21 July 2021)
Administrator: Executive Director, Student Administrative Services
Review date: 2 October 2023
Rescinded documents:
Related documents:

Higher Education Support Act 2003 (Cth)
Commonwealth Scholarships Guidelines (Research) 2017
University of Sydney (Higher Degree by Research) Rule 2011
Progress Planning and Review for Higher Degree by Research Students Policy 2015
Scholarships and Student Recognition Awards Policy 2016
Higher Degree by Research Supervision Policy 2020
Student Complaints Procedures 2015

AMENDMENT HISTORY

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<td>13(2)(c)</td>
<td>Administrative amendment; delete “one period.” and replace with “two research periods.”</td>
<td>8 November 2018</td>
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<td>6</td>
<td>Revising definition of dean to include Executive Dean and Pro Vice-Chancellor of the Faculty of Medicine and Health</td>
<td>6 May 2020</td>
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<tr>
<td>12(1)</td>
<td>Changed Deputy Vice-Chancellor (Registrar) to Deputy Vice-Chancellor (Education)</td>
<td>6 May 2020</td>
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<td>12(2)(b); 23(1)(e); and Notes</td>
<td>Amended title of internal policy documents</td>
<td>6 May 2020</td>
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<tr>
<td>13(2)(a)</td>
<td>Replaced 36 months with 42 months and deleted 'with a possible extension of six months if the student achieves a progress review rating above “unsatisfactory progress” at the progress review at the end of their third year'</td>
<td>6 May 2020</td>
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<tr>
<td>13(2)(c)</td>
<td>Replaced ‘twelve months’ with ‘fourteen months’ and deleted ‘with a possible extension of two research periods’</td>
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<td>13(2)(d)</td>
<td>Clause deleted</td>
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<td>26</td>
<td>New clause ‘Extraordinary measures’ added</td>
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<td>Administrator changed: now ‘Executive Director, Student Administrative Services’</td>
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<td>20(1) and note</td>
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<td>20(2)</td>
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<tr>
<td>14(2)</td>
<td>Requirement to “establish and maintain a publicly available webpage on the University’s website for RTP Scholarships” deleted and replaced by “publish details of available RTP Scholarships and how to apply for them on the University’s scholarships website”</td>
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